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THE DEFORMATIONAL BEHAVIOR AND CONSTITUTIVE EQUATION OF CONCRETE USING THE ELASTO-PLASTIC AND FRACTURE MODEL

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SYNOPSIS

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According to the test results, the elasto-plastic and fracture constitutive equations describing the process of the plasticity and the fracture are formulated. A new system of flow-rules, which specify the directional correlations of the stress and strain vectors, is also proposed for formulating the anisotropy of concrete.

By organizing those constitutive equations, the plane stress constitutive law is derived, and its applicability is verified by the numerical analysis of the finite element method and the experimental data.

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The Deformational Behavior and Constitutive Equation of Concrete Using the Elasto-Plastic and Fracture Model

by

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Biaxial loading tests of concrete under compression-tension stress states were carried out for collecting the fundamental data to formulate the plane stress constitutive equations for concrete. The non-proportional stress paths were newly adopted to make clear the anisotropic behavior of concrete quantitatively.

According to the test results, the elasto-plastic and fracture constitutive equations which describe the progress of the plasticity and the fracture are formulated. A new system of flow rules, which describe the directional correlations of the stress and the strain vectors, is also proposed for formulating the anisotropy of concrete.

By organizing those constitutive equations, the plane stress constitutive law is derived, and its applicability is verified by the numerical analysis of the finite element method and the experimental data.

NOTATION

D	:	directional parameter of plastic flow.
Ε	:	equivalent strain.
$E_{\rm max}$:	maximum experimental equivalent strain.
E_p	:	equivalent plastic strain.
Eo	:	material coefficient corresponding to the stiffness
E_{1} . E_{2}	:	biaxial stiffnesses.
E* -	; :	stiffness coefficient in reversible process.
f .	:	fracture strength of constituent element.
f_c	:	uniaxial compressive strength.
f_t	:	uniaxial tensile strength.
8	:	flow rule parameter (biaxial compression stresses).
h	:	flow rule parameter (compression-tension stresses)
Κ	:	fracture parameter.
n	:	the ratio of the fractured constituent elements of concrete
Р	:	fracture strength distribution.
Q	:	loading (yield) function in the theory of plasticity.
r	:	parameter to control numerical integration steps.
S	:	equivalent stress.
S_e	:	equivalent stress of constituent elements.
t	:	time.
V	:	incremental stress invariant vector.
V_{1}, V_{2}	:	components of vector V.

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X		:	incremental strain invariant vector.
X1, .	X_2	:	components of vector X.
α, β		:	anisotropy parameters.
γ		:	rotation angle of the principal stress axis (degree).
$\overline{\gamma}_{0}$:	deviatoric strain function.
ε_{ij}		:	strain tensor (Green's tensor).
Eeij		:	elastic strain tensor.
Epij		:	plastic strain tensor.
Ēbis		:	effective plastic strain in the strain-hardening.
Ēbiw	,	:	effective plastic strain in the work-hardening.
ε1,	ε_2	:	principal total strains.
$\bar{\varepsilon}_{p1}$,	εp	2:	principal plastic strains.
εo		:	mean strain function.
ε ₂₀		:	uniaxial compressive strain at the uniaxial strength.
σ_{ij}		:	stress tensor.
σ_1 ,	σ_2	:	principal stresses.
σo		:	mean stress (one of the stress invariants).
ōeij		:	stress tensor of constituent element.
$\bar{ au}_0$		۰:	deviatoric stress (one of the stress invariants).
θ		:	angle of the maximum principal stress direction to the X-coordinate.
θ_e		:	angle of the principal elastic strain direction to the X-coordinate.
V12,	ν_{21}	:	biaxial Poisson's ratios (compressive and tensile).
v*		:	Poisson's ratio in reversible process.
			-

1. Introduction

Many years have passed since Scordelis applied the finite element method (FEM) to the analysis of reinforced concrete beams in 1967¹⁾. During the last few years, the numerical method of analyzing nonlinear problems has made a great advance and the studies for mathematical models necessary for the FEM analysis of reinforced concrete structures have been executed. Constitutive model and failure criteria of concrete, shear transfer across a crack in concrete, bond between concrete and reinforcing bars are typical mathematical models.

The FEM analysis is used to simulate the behavior of large-scale structures for design purposes and the behavior of reinforced concrete members under various external actions for investigating the mechanics. The numerical method of predicting the behavior of structures based on the microscopic mechanical characteristics is attractive for researchers because it gives the unified analytical method. During the last ten years, a lot of studies concerning the numerical analysis have been carried out, however, unified analytical method is not yet completely established. It is because the mechanical characteristics of constituent materials are not completely investigated by experiments, and because there exist some problems in regard to the numerical method to represent the nonlinearity of the mathematical models. Especially, constitutive laws of concrete, shear transfer and dilatancy on cracked faces of concrete, bond and dowel actions between concrete and

reinforcement are to be investigated, and modelling of cracking in FEM analysis, nonlinear analytical method including strain-softening and the mathematical model to express the difference of stress-strain relations of concrete due to the size of the finite elements should be solved.

This paper deals with the constitutive equations of concrete under the plane stress condition, one of the most basic problems for the finite element analysis of reinforced concrete structures. The behavior of concrete under multiaxial stress states have been studied since an early age. Among these studies, the experimental study by Kupfer et al. in 1969²⁾ is wellknown. Since the study of Kupfer et al., biaxial and triaxial loading tests of concrete have been executed, and their main objective has been to get the failure envelope indicated by stresses. On the other side, a lot of multiaxial constitutive models of concrete have been reported such as the hypo-elastic model (isotropic nonlinear elastic model^{3), 4), 5)}, anisotropic model⁶⁾, equivalent uniaxial model⁷⁾ etc.), the elastoplastic model^{8), 9),10)}. Endochronic model¹¹⁾, plastic fracturing model¹²⁾ and so on.

The behavior of the reinforced concrete structures often depends on the deformation and failure of concrete element under high compression-tension stress state¹⁵⁾. But the previously reported models and experiments are not careful enough for the characteristics of this state. The objectives of this research are to investigate the behavior of concrete under compression-tension stress states with an experimental approach and to formulate the constitutive equations for concrete with high accuracy.

In the previously reported biaxial loading $tests^{2),13),14}$, $test^{16}$, the stress paths have been limited in the very special case, where the ratio of the principal stresses is constant and the principal direction is fixed in the loading hysteresis. If we adopt only this type of stress hysteresis, the anisotropic behavior of concrete and the path-dependent deformational characteristics of concrete can not be made clear.

Taking these situations into consideration, authors adopted non-proportional stress paths, and for the first time in this research, the principal axis rotation tests were carried out.

According to the tests results, the elasto-plastic and fracture constitutive equations are proposed. These equations describe the relation between the degree of the stress and strain vectors in taking the path-dependent deformational characteristics of concrete into account. A new system of flow rules, which formulate the directional correlation of stress and strain vectors, is also proposed. The proposed flow rule system is constructed by four equations and takes the anisotropy of concrete into account.

By solving the elasto-plastic and fracture equation and the four flow rule equations simultaneously, plane stress constitutive equations are derived and their applicability is verified by the experimental data.

2. Deformational Behavior of Concrete under Biaxial Stress States (Compression-Tension Area)

2.1 Experiments

2.1.1 Difficulties in the test

In all the series of experiments, biaxial compressive and tensile forces were applied to concrete plates, and strains were measured by strain gauges. The precision and reliability of this kind of experiment depend very much on the creation of uniform stress and strain fields in concrete specimens. Actually it is quite difficult to create the uniform stress condition, therefore, it took more than one year to get the reliable data with reasonable accuracy.

In the first place, the friction between concrete specimens and loading plates must be eliminated as far as possible. To eliminate the friction at the contact faces where tensile forces were applied, authors sought for materials with higher tensile strength and lower stiffness than those of concrete. One-way fiber reinforced plastics were examined, but, finally natural wood was selected for the material to cut the friction.

In the second place, local splitting at the adhesive faces must be avoided when the tensile force is transmitted to concrete through the wooden appratus for eliminating the friction. It is not desirable to apply tensile forces to the face where the local tensile strength is lowered due to bleeding of concrete.

In the third place, it is important to make specimens with the precision of shape in a high order. Especially, corners of the specimens must be angled as right as possible. If the precision of test specimens is not guaranteed, it is impossible to create the uniform biaxial stress and strain fields within acceptable accuracy.

In the forth place, in order to eliminate the eccentricity, it is necessary to keep the axes of compressive and tensile forces through the center of the specimen at any time of loading.

Strictly speaking, it is impossible to solve these problems completely. However, within a certain acceptable limit from an engineering point of view, these problems have been solved.

2.1.2 Test specimens

Concrete plates 200mm × 200mm × 50mm were used for experiments. This concrete specimen has the same dimensions as Kupfer's experiment²⁾. Therefore, experimental data can be compared with each other. To ensure the finishing precision of specimens, a special metal mould with finishing precision of 1/100mm were used. Fresh concrete was placed in the metal mould where cement powder was sprinkled on the inner faces of the metal mould to control the decrease of the tensile strength near the faces of the mould by bleeding of concrete.

During a week after placing, specimens were cured in water. Four or five weeks later, specimens were used for tests after drying in a laboratory. Mixture of concrete used are shown in Table 1. Uniaxial compressive strength was between 27 and 35 Mpa.

Туре	W/C(%)	W(N)	C(N)	s/a(%)	G(N)	S(N)	
À	50	1800	3620	47	9500	8270	
В	60	1800	3000	48	9900	9130	
С	50	1740	3480	47	9670	8420	
D	45	1800	4000	47	9490	8260	

Table 1. Mixtures of concrete used.

High Early Strength Portland Cement used

Maximum Size of Coase Aggregates: 15mm

2.1.3 Cutting of friction

The compressive force was applied to concrete specimens through the loading plates. To reduce the contact friction, two sheets of teflons (0.1mm and 0.5mm thick) with silicon grease were set between the loading plates and concrete specimens. In order to prevent the injection of silicon grease into the specimen and the increase of contact friction, paraffin was impregnated into B and D faces for coating as shown in Fig. 1.



Fig. 1. Directions of applied biaxial stresses.



Fig. 2. Wooden brush and test specimen.

The tensile force was transmitted to concrete specimens through the wooden brushes as shown in Fig. 2. This brush was placed between the concrete specimen (A and C faces in Fig. 1) and the loading plates as shown in Fig. 2 with epoxy type adhesive agent. The wooden brushes were designed with reference to the steel brushes by Kupfer et al². The tensile strength of the wood used was about 60-70 Mpa and the modulus of elastic-

ity was $60-75 \times 10^2$ Mpa. To reduce the stiffness, cuttings were made as shown in Fig. 2. The shape of the tooth section is 8.1mm × 11.0mm and the depth is 30mm. Faces A and C in Fig. 1 were scraped by 1.5mm deep so that tensile force shall be directly transmitted to the coarse aggregates and mortar. Epoxy resin between the teeth of a brush was wiped out before hardening.

2.1.4 Loading system

The loading system of this experiment is shown in Fig. 3. Two sheets of concrete specimens were used and biaxial compressive and tensile forces are simultaneously applied. Two center-hole type jacks introduced the tensile force to concrete specimens. In order to prevent the eccentricity of load, the same amount of oil was supplied to the two jacks. To follow the movement of the cylinder head of the compressive jack, two center-hole type jacks were fixed to the head of the compressive jack by the steel frames. The objective of this arrangement of jacks as shown in Fig. 3 is to eliminate the eccentricity of compressive and tensile forces even when the concrete specimens were deformed during the loading. Applied forces were distributed by the bearing plates. Two compressive loading plates were connected by four prestressing bars, and two tensile loading plates were linked by two prestressing bars. These bars increase the stiffness of the total system of the biaxial loading apparatus and make it possible to get the data under the stable situation near the failure conditions of concrete.



Fig. 3. Biaxial loading system.

The strains of concrete specimens and prestressing stiffness were measured by wire strain gauges. The displacement-type transducers were set on the specimens to supplement the measurement of strains in the area of large deformations. Loading speed was set approximately 0.1 Mpa per second. The experimental data such as strains, stresses, load-

ing speed were scanned with the interval of about 4 second and the real time analysis of data was carried out by an 8 bit micro-processor and the results were always displayed on console. With the updated information from the micro-processor, the operators controlled the oil pumps of the jacks according to the loading programs.

2.2 Deformational characteristics of concrete under monotonic loading

2.2.1 Loading paths to get the biaxial stiffnesses and biaxial Poisson's ratios

Most of the reported biaxial loading tests concerning the concrete behavior were carried out under a special loading history, that is, monotonic and proportional loading where the ratio of two principal stresses was constant at any time of loading. However, from this type of loading paths, the tangential coefficients in the linear system of Eq.(1) cannot be directly obtained from the results of experiments.

$$d\varepsilon_{1} = \frac{1}{E_{1}} d\sigma_{1} - \frac{\nu_{12}}{E_{2}} d\sigma_{2}$$

$$d\varepsilon_{2} = -\frac{\nu_{21}}{E_{1}} d\sigma_{1} + \frac{1}{E_{2}} d\sigma_{2}$$
(1)

where ε_1 , ε_2 : principal strains $\varepsilon_1 > \varepsilon_2$

 σ_1 , σ_2 : principal stresses $\sigma_1 > \sigma_2$ (tension is positive). Even if increments of strains $d\varepsilon_1$, $d\varepsilon_2$ and stresses $d\sigma_1$, $d\sigma_2$ are measured from the proportional loading tests, that is, $d\sigma_1/d\sigma_2 = \sigma_1/\sigma_2 = \text{constant}$, four unknown quantities E_1, E_2, ν_{12} and ν_{21} cannot be uniquely determined since the number of unknown values is larger than the number of equations. In such a case, to get these values from experimental data, the hypothesis of isotropy and symmetry in the tangential stiffness matrix $(E_1=E_2, \nu_{12}=\nu_{21})$ is usually assumed. If we use this assumption, we can solve the system of Eq.(1) completely and obtain the four coefficients from experimental data. Because of simplicity, this assumption has been also used in the mathematical expressions of constitutive model of concrete. Strictly speaking, however, the applicability of the assumption of isotropy and symmetry of stiffness to the concrete mechanics has not been verified. The authors adopted two types of loading paths to get the biaxial stiffnesses and biaxial Poisson's ratios explicitly from the experiments.

(1) After uniaxial compressive stress is monotonically applied $(d\sigma_1=0, d\sigma_2 \neq 0)$, the tensile stress is applied in the direction normal to the principal compressive stress $(d\sigma_1 \neq 0, d\sigma_2=0)$. This type of loading path gives the information about the effect of the tensile principal stress on the total deformation of concrete. This type of loading path directly gives the tensile tangential stiffness and the tensile Poisson's ratio as

$$E_1 = \frac{d\sigma_1}{d\varepsilon_1}, \qquad \nu_{21} = -\frac{d\varepsilon_2}{d\varepsilon_1}$$
(2)

where $d\sigma_2=0$, and $d\sigma_1$, $d \varepsilon_1$, $d \varepsilon_2$ were measured in experiments.

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(2) After uniaxial tensile stress is monotonically applied $(d\sigma_1 \neq 0, d\sigma_2 = 0)$, the compressive stress is applied $(d\sigma_1 = 0, d\sigma_2 \neq 0)$ under the constant principal tensile stress state. The compressive stiffness and the compressive Poisson's ratio can be directly calculated by this type of loading paths as

$$E_2 = \frac{d\sigma_2}{d\varepsilon_2}, \qquad \nu_{12} = -\frac{d\varepsilon_1}{d\varepsilon_2}$$
(3)

where $d\sigma_1=0$, and $d\sigma_2$, $d\varepsilon_1$, $d\varepsilon_2$ were measured in experiments.

2.2.2 Tension test under constant compressive stress

The stress-strain diagrams and the loading paths, where the compressive principal stress was constant, are shown in Fig. 4. Two principal stresses are normalized by the peak stress f_c under uniaxial monotonic compressive loading and uniaxial tensile strength f_t . Two principal strains are also normalized by the compressive strain ε_{20} which corresponds to the peak stress f_c in uniaxial compression. Increments of strains which were newly introduced by the tensile principal stress are shown in Fig. 5. The effect of the



Fig. 4. Stress-strain diagram when the principal compressive stress is constant.



Fig. 5. Principal tensile stress and incremental biaxial strains when principal tensile stress is applied under the constant principal compressive stress.

principal tensile stress on the deformation of concrete under biaxial stress state is clearly indicated in this figure. Where the principal compressive strain is low, say $\varepsilon_2/\varepsilon_{20} < 0.6$, the relationship between the principal tensile stress and corresponding increments of strains is nearly linear. But, with the increase of the level of principal compressive strain, the nonlinearity appears in these relationships. The deformation of concrete is accelerated by the increase of the tensile stress and the rate of the deformation becomes larger. This deformational characteristics of concrete can be easily expressed by the tangential tensile stiffness E_1 . The diagrams of the relations between the tensile stiffness and the compres-

sive strain level are shown in Fig. 6. The tensile stiffness decreases rapidly as the level of the compressive strain in the direction normal to the increment of tensile stress increases.





Fig. 7. Relation between the tangential Poisson's ratio and the compressive level.

Fig. 6. Relation between the tangential tensile stiffness and the compressive level.

In the same way, the tensile Poisson's ratio ν_{21} calculated by Eq.(2) are shown in Fig. 7. Where the level of compressive strain is low, the tensile Poisson's ratio is nearly equal to the initial value, but, when the level of the compressive strain $\varepsilon_2/\varepsilon_{20}$ exceeds 0.6, it becomes large rapidly. For the first time, these deformational characteristics were quantitatively measured by using this type of stress paths.

Let us now consider the equivalent uniaxial model of anisotropy ⁷). According to this type of constitutive model, the tangential tensile stiffness in the direction normal to the uniaxial compressive stress is evaluated as constant and is equal to the initial stiffness. This prediction contradicts with the results of this experiments. A special care should be taken in analyzing the mechanics of concrete by this modelling.

In these experimental series, two types of failure modes were observed, say, cracking mode and crushing mode. The cracking mode is defined as the brittle failure mode where a crack rapidly appears in the direction normal to the principal tensile stress. This type of failure mode was observed under the stress condition where the tensile stress is relatively large. The crushing mode is defined as the ductile failure mode where the several distributed cracks appear and the two principal stresses cannot be kept constant at the peak stress condition. This type of mode was observed under high compression-low tension stress state as shown in Fig. 5. It is considered that in case of the cracking mode, the failure is mainly introduced by the tensile stress, and compressive stress accelerates this type of failure. On the other side, in case of the crushing mode, the tensile principal stress is considered to help the extension of microcracking which is advanced by the compressive principal stress and at last, the strain-softening behavior starts.

2.2.3 Compression test under constant tensile stress

The stress-strain diagrams and loading paths, where the principal tensile stress was constant, are shown in Fig. 8. It is recognized that the tensile principal stress has little influences on the relationship between the compressive stress and strain under low compression level, but on the other hand, when the compressive strain level $\varepsilon_2/\varepsilon_{20}$ exceeds 0.6, the total deformations are accelerated by the existence of principal tensile stress.



Fig. 8. Stress-strain diagram when the principal tensile stress is constant.



The compressive tangential stiffness E_2 calculated by Eq.(3) is shown in Fig. 9, where E_2 decreases as the level of compressive strain increases, and the principal tensile stress accelerates the decreasing rate of the compressive stiffness. The deformational behavior is remarkable in the high compression-tension stress state.



Fig. 10.

Relation between the tangential Poisson's ratio and the compressive level when the principal tensile stress is constant.

The compressive Poisson's ratio is calculated from this experiment and shown in Fig. 10. Under biaxial high stress states, the compressive Poisson's ratio increases rapidly when the compressive level $\varepsilon_2/\varepsilon_{20}$ exceeds 0.6 as in the case of the tensile Poisson's ratio. The principal tensile stress seems to advance the increase of the tensile Poisson's ratio.

2.2.4 Anisotropy under biaxial stress states

The biaxial stiffnesses and biaxial Poisson's ratios were obtained by adopting these two special types of loading paths. The biaxial stiffnesses decrease and the values of two Poisson's ratios increase as the compressive deformation level increases. These deformational characteristics are accelerated by the increment of the principal tensile stress. From the two types of loading paths, the ratio of biaxial stiffnesses E_1/E_2 and that of biaxial Poisson's ratios ν_{21}/ν_{12} at a certain stress or strain condition can be easily obtained. A new test which has the step-type loading paths as shown in Fig. 11 was also carried out in order to get the biaxial stiffnesses and Poisson's ratios. The ratio of biaxial stiffnesses, the







Fig. 13. Ratio of the biaxial Poisson's ratios.



Fig. 12. Ratio of the biaxial stiffnesses.



Fig. 14. Evaluation of the symmetry of the tangential stiffness matrix.

ratio of biaxial Poisson's ratios and the ratio of the diagonal components in the stiffness matrix, $E_1\nu_{12}/E_2\nu_{21}$, are calculated and shown in Fig. 12, Fig. 13 and Fig. 14 respectively. If the behavior of concrete is isotropic, these ratios of biaxial stiffnesses and diagonal components in stiffness matrix must be equal to unity $(E_1/E_2=1, \nu_{12}/\nu_{21}=1)$.

According to the test results, concrete behaves isotropically when the stress level is low. But anisotropy becomes significant when the deformation level $\varepsilon_2/\varepsilon_{20}$ exceeds 0.6 as shown in Fig. 12. The decreasing rate of the tensile stiffness exceeds that of the compressive stiffness in compression-tension stress state.

Significant differences between the biaxial Poisson's ratios are not observed in Fig. 13. The ratio of diagonal components in the stiffness matrix in Eq.(1) deviates from unity under high compression-tension stress state as shown in Fig. 14. This experimental result means that the tangential stiffness matrix becomes nonsymmetric under high compression-tension stress state.

According to these test results, concrete behavior may be assumed isotropic within a certain compression level, that is, $\varepsilon_2/\varepsilon_{20} < 0.6$ or $\sigma_2/f_c < 0.9$. Under high compression-low tension stress state, concrete constitutive laws should take the anisotropy and nonsymmetry of the biaxial tangential stiffness matrix into consideration. The cause of this type of anisotropy is considered to be the rapid and unstable extension of micro cracking.

2.2.5 Failure envelope and loading paths

The main objective of the previously reported studies of multiaxial behavior of concrete was to obtain the failure envelope indicated by stresses. The obtained failure envelopes were often used for formulating the plastic potential in the theory of plasticity. The failure envelope obtained in this research is shown in Fig. 15 where the data by Kupfer's experiment²⁾ are also included. The failure envelope on the strain space which corresponds to the envelope indicated by stresses are shown in Fig. 16. According to



Fig. 15. Stress paths and failure envelope with reported data[2].



Fig. 16. Strain paths and failure envelope.

the experimentally obtained failure envelopes and stress-strain paths to these failure envelopes in Fig. 15 and Fig. 16, it may be concluded that the stresses and strains at failure exist on the failure envelopes which are not dependent on the stress or strain paths under monotonic loading conditions where two principal stresses always increase or are constant in compression and tension stress state. Moreover, when the applied stress is monotonic, the relationship between stresses and strains seems to be independent of the stress paths even when the states of stress and strain are within the failure envelopes. That is, there exists unique relationship between stresses and strains under biaxial stress conditions. Accordingly, the hypo-elastic models (See Chapter 1.) and the total strain models¹⁵) are reasonable only in the monotonic loading.

2.3 Characteristics of plastic deformation

2.3.1 Loading paths to get the plastic deformation

The plastic deformation is a very important factor of describing the mechanics of material. The plasticity is mathematically used for representing the effect of hysteresis in the constitutive laws of concrete. The classical theory of plasticity formulates the bahavior of plastic deformation with the strain-hardening or the work-hardening rule and the normality rule which decides the direction of the plastic flow. The theory of plasticity has been applied in the numerical analysis of concrete 1^{71} , because this theory is well established in the mathematical system and has much experiences to be applied to various nonlinear materials. Some references 9^{1} , 1^{71} reported that the theory of plasticity has the ability to predict the nonlinear behavior of concrete under biaxial and triaxial compression stress states. On the other hand, there is a question as to whether the theory of plasticity could express the behavior of concrete including the principal tensile stress or not 1^{51} .

But unfortunately, there exist very little data discussing the concrete plasticity in the biaxial condition. Therefore, in the first place, the following type of test was carried out to get the information concerning the plastic deformation under the uniaxial stress condition. The uniaxial compressive stress was applied monotonically and unloaded completely. By this type of loading path, biaxial principal plastic strains ϵ_{p1} and ϵ_{p2} could be measured. In the second place, the following types of tests were carried out to make clear the effect of tensile principal stress on the total plastic deformation.

(1) The principal tensile stress was applied to the uniaxially compressed concrete $(d\sigma_2=0, d\sigma_1>0)$ and unloaded completely.

(2) The principal compressive stress was applied to concrete specimens under uniaxial tensile stress state in the direction normal to the principal tensile stress and completely unloaded.

The plastic deformation is directly expressed by plastic strain tensors. However, the tensorial expression is not suitable for indicating the degree of total plastic deformation and the direction of the plastic flow. Accordingly, in this paper, the plastic deformation is

represented by the incremental plastic strain vector $d\epsilon_{pls}$ in the form

$$d\epsilon_{pls} = (d\epsilon_{p1}, \ d\epsilon_{p2}) \tag{4}$$

The degree of the incremental plastic deformation represented by $d\bar{\epsilon}_{pls}$ may be indicated by the norm of the incremental plastic strain vector, that is

$$d\bar{\varepsilon}_{pls} = \|d\varepsilon_{pls}\| = \sqrt{(d\varepsilon_{p1})^2 + (d\varepsilon_{p2})^2}$$
(5)

The integrated degree of plasticity $\bar{\epsilon}_{pls}$ has the meaning of the accumulated damage of plastic deformation and we have

$$\bar{\varepsilon}_{pls} = \int \bar{\varepsilon}_{pls} = \int \|d\epsilon_{pls}\|$$
(6)

The direction of the plastic flow can be represented by

$$D = -\frac{d\epsilon_{p_1}}{d\epsilon_{p_2}} \tag{7}$$

where D is named as 'directional parameter of plastic flow'.

2.3.2 Characteristics of plastic deformation under uniaxial compressive stress state

The uniaxial unloading paths on the diagram of stress-strain relationship is shown in Fig. 17 and strain paths are developed on the biaxial principal strain space as shown in Fig. 18, where the incremental plastic strain vector $d\epsilon_{pls}$ is illustrated as arrows. Compressive and tensile plastic strains ϵ_{p2} , ϵ_{p1} flow as the maximum deformation level $\epsilon_{2 \text{ max}}/\epsilon_{20}$ increases. As the compressive level increases, the tensile plastic strain in the direction normal to the compressive stress proceeds rapidly compared with the compressive principal plastic strain.



Uniaxial compressive stress-strain relations including the stress paths of unloading.





The relationship between the calculated rate of plastic flow, $d\bar{\varepsilon}_{pls}/d\sigma_2$, and experimental maximum principal compressive strain $\varepsilon_{2 \max}$ is shown in Fig. 19. The plastic deformation flows rapidly when the maximum compressive level $\varepsilon_{2 \max}/\varepsilon_{20}$ exceeds 0.6. There exists the correlation between the direction of incremental plastic flow and the maximum compressive level as shown in Fig. 20. When the applied compressive strain is small, the value of the directional parameter of plastic flow 'D' experimentally coincides with the initial Poisson's ratio. Under the high compressive stress state, the value of D rapidly becomes larger. This means that the plastic strain in the direction normal to the uniaxial compressive stress proceeds rapidly rather than the plastic strain in the compressive sive direction.



Fig. 19. Plastic flow rate due to the increment of principal compressive and tensile stresses.



Fig. 20. Direction of incremental plastic flow when the increments of the principal compressive and tensile stress are applied respectively.

The direction of the incremental plastic flow is given by the normality rule in the theory of plasticity. If the isotropic plastic yield function is assumed, the unique relationship between the direction of plastic flow and the direction of stress vector is derived from the formulation of the normality rule in the theory of plasticity. According to this theory, value of D must be constant, because the stress direction is uniaxial and always constant. This assumption is not applicable to express the characteristics of the direction of concrete plastic deformation.

2.3.3 Characteristics of plastic deformation under compression-tension stresses

The stress paths and stress-strain relationship of tensile loading test with constant principal compressive stress are shown in Fig. 21. When the compressive strain level is low, the increase of tensile principal stress does not influence the plastic deformation so much. But, under the condition where the principal compressive stress is near the peak stress f_c in compression, the increase of principal tensile stress normal to the principal compressive stress direction introduces the more rapid rate of the plastic deformation rather than the increase of principal compressive stress state.



Fig. 21. Stress-strain diagram including biaxial unloading paths.

This deformational characteristic is mathematically and quantitatively represented by the plastic flow rate $d\bar{\epsilon}_{pls}/d\sigma_i$ (i=1,2) as shown in Fig. 19. When the compressive level $\epsilon_{2 \max}/\epsilon_{20}$ exceeds 0.6, the increasing rate of plasticity due to the increment of principal tensile stress, $d\bar{\epsilon}_{pls}/d\sigma_1$, increases rapidly, and is about two times of the plastic flow rate by the increment of the principal compressive stress, $d\bar{\epsilon}_{pls}/d\sigma_2$. It means that under the high compression-tension stress state, the increment of the principal tensile stress accelerates the plastic deformation more rapidly than the increment of the principal compressive stress.

The direction of the incremental plastic flow is shown in Fig. 20. When the compressive stress is low, the direction of the plastic flow tilts in the direction normal to the principal compressive stress, therefore, the value of D becomes larger than the value in the case of the uniaxial compressive stress state. According to the increase of the compressive strain level, the direction of the plastic flow gradually approaches the direction of compressive principal stress, and the value of D decreases.





Stress paths of compression tests under constant tensile stress and stress-strain diagrams are shown in Fig. 22. In this type of loading, the increment of compressive stress advances the plastic deformation when the constant tensile stress level is higher, and the rate of plastic flow by compression becomes larger.

2.4 Cracking strength of concrete

In the finite element analysis, the criterion of cracking under biaxial stress state has been often indicated by stresses. This type of criterion is usually formulated by using the biaxial data of the proportional monotonic loading tests^{2), 14), 16)}. According to the test results in Section 2.2.5, cracking criterion can be certainly indicated by stress and is independent of the stress paths in case of the monotonic loading conditions.

But, it is not reasonable to use the cracking criterion which was derived from the data of monotonic loading condition for analyses including the stress hysteresis such as unloading and cyclic loading. Actually, it is easily imagined that the tensile strength of concrete which has been compressed to the strain softening level is nearly zero. In order to investigate the effect of the stress hysteresis on the tensile strength and the stiffness, an uniaxial tensile stress was applied to the concrete specimens which have the experiences of being compressed in the direction normal to the uniaxial tensile stress, and strains were measured and shown in Fig. 23.

The uniaxial tensile stiffness E_1 decreases as the maximum compressive strain increases. The stress points when the crack forms are plotted on the stress space as shown in Fig. 24. The uniaxial tensile strength of concrete with the compression history exists not on the cracking failure envelope of monotonic loading but inside the envelope. The cracking criterion indicated by stress is not enough to take the effect of the stress history into account. Accordingly, failure criterion of cracking mode must include a parameter which represents the effect of stress paths or strain ones.



Fig. 23. Relations between uniaxial tensile stress and incremental biaxial strains of pre-compressed concrete.





Fig. 24. Cracking failure points on the biaxial stress space.



The relationship between the principal tensile stress at cracking and the maximum value of the principal compressive strain at cracking under the compression-tension stress state is shown in Fig. 25. In case of the monotonic loading condition, the maximum value of the principal compressive strain, $\epsilon_{2 \text{ max}}$, is equal to the total compressive strain, $\epsilon_{2,}$ at cracking. At the cracking type of failure there exists unique relationship between the principal tensile stress and maximum level of principal compressive strain which represents the deformational history of concrete. This experimental results suggests the possibility to get the cracking criterion applicable to all the case of stress or strain hysteresis.

2.5 Concluding remarks

As the biaxial strains were precisely measured under various types of biaxial loadings with unloading paths, it became possible to obtain the following characteristics of concrete directly from experimental data.

(1) Biaxial stiffnesses and Poisson's ratios

As the previously reported biaxial loading tests were mainly carried out under monotonic proportional loading hysteresis, we could not determine the biaxial stiffness matrix

quantitatively. However, by adopting non-proportional stress paths reported in this chapter, the components of tangential stiffness matrix, say biaxial stiffnesses E_1 , E_2 and corresponding Poisson's ratios ν_{12} , ν_{21} , could be quantitavely obtained.

(2) Anisotropy of concrete

Since an early age, the anisotropic behavior of concrete has been discussed and idealized in using some simple assumptions. However, this anisotropy has not been experimentally investigated, therefore, we had no data to verify the constitutive models concerning anisotropy of concrete. But, as the biaxial stiffness matrix could be quantitatively investigated, the degrees of anisotropy and non-symmetry of stiffness could be indicated by the ratio of these stiffnesses and the ratio of diagonal components of stiffness matrix.

(3) Plasticity of concrete

In order to formulate the effect of strain hysteresis on the stress-strain relationship, it is important to follow the plastic deformation in biaxial stress conditions. But, in spite of the wide applications of the theory of plasticity to the engineering problems, the plastic flow rate and its direction under biaxial stress states have not been investigated at all. Unloading stress paths under biaxial stress states were introduced in this experimental program, and biaxial plastic strains were for the first time measured. Using biaxial plastic strains, we can express the plastic deformations.

These deformational behaviors of concrete have been qualitatively supposed and discussed by researchers of concrete engineering but, for the first time quantitatively made clear by using the stress paths. Moreover, the following new results were quantitatively obtained in this research.

(4) Under the compression-tension stress state, the behavior of concrete is isotropic and independent of the stress paths when the deformational level is low. As the level of deformation increases, the tensile stiffness in the direction of the tensile principal stress becomes smaller than the compressive stiffness in the direction normal to the principal tensile stress, and the tangential stiffness matrix gradually becomes non-symmetric and anisotropic.

(5) In the monotonic loading condition under the compression-tension stress state, there exists unique relationship between the biaxial stresses and strains. Both the failure envelopes indicated by stresses and the one indicated by strains are not influenced by the stress paths.

(6) Under high compression-tension stress state, the increment of principal tensile stress accelerates the plastic deformation more effectively than that of principal compressive stress, and the plastic strain in the direction of principal tensile stress more rapidly flows than that in the direction of principal compressive stress.

(7) The failure mode in the compression-tension stress states can be classified into the cracking mode and the crushing mode. In the cracking mode, a few brittle cracks appeared and the tensile stress could not be sustained. In the crushing mode, the strainsoftening behavior was observed and both the principal stresses could not be kept constant. The mode of failure is not also influenced by the stress paths in the monotonic loading condition.

(8) The uniaxial tensile strength is influenced by the loading hysteresis. The strength and the tensile stiffness decrease rapidly when a large compressive stress was applied to the concrete.

The formulations of these characteristics of concrete and derivation of constitutive laws are discussed in the next chapters.

3. Formulation of Constitutive Equation based on the Elasto-Plastic and Fracture Model

In Chapter 2, the complicated nonlinear behaviors of concrete were quantitatively investigated by the newly adopted experimental approach. From an engineering point of view, the constitutive equations which predict the stress vector $\{\sigma_{ij}\}$ under arbitrary strain paths must be formulated for the nonlinear finite element analysis. In order to formulate the stress vector, this paper proposes the following two types of constitutive equations based on the investigated nonlinear behaviors of concrete, the elasto-plastic and fracture constitutive equation which describes the degree of the stress vector and the flow rules which predict the direction of the stress vector (Chapter 4) under arbitrary strain hysteresis.

In this chapter, the constitutive equation to predict the invariant of the stress vector ("length" of the vector) is proposed. In formulating this type of equation, the macroscopic deformational characteristics, such as the difference of stress-strain curves between the monotonic loading and unloading stress paths, the progress of the plastic deformation, strain hardening and softening behaviors and the change of stiffness in unloading and reloading (effect of the fracture defined later) are taken into account.

3.1 The concepts of plasticity and fracture, and the definition of reversible and irreversible process

As shown in Fig. 17, the relationship between the uniaxial compressive stress and strain is nonlinear, even in the low compressive stress state. When the applied compressive stress is unloaded, the incremental stress-strain relationship becomes practically linear and average stiffness (or secant stiffness) gradually decreases as the maximum value of compressive strain becomes large. These nonlinearities are considered to appear because a part of the strain energy given by the external load may be consumed. In this report, authors define the plasticity as the change of the plastic strain. The plastic strain is the total strain which corresponds to the zero stress state. The plasticity can be taken up first as an index which represents the "degree" of the accumulated damage in concrete. It is imagined that the plasticity originates from the collapse of fine voids of concrete, dislocation of the cement paste, the mechanical slip between coarse aggregates and mortar.

If the concrete nonlinearity is explained only by the plasticity, the stiffness must be constant and equal to the initial stiffness when the plastic strains do not change, such as, in the unloading process. This model is successful in expressing the macroscopic deformational behaviors of metals. This classical theory of plasticity explains the degree of plasticity with a "state value" named the effective or equivalent plastic strain and introduces the nonlinearity in the constitutive laws by giving the nonlinear relationship between the effective plastic strain and the degree of externally applied stress named the effective stress ¹⁸, ¹⁹ (plastic hardening rule). This process is illustrated in Fig. 26.



However, in the case of concrete, the unloading stiffness is not constant. Accordingly, above and beyond the plasticity, it is necessary to take another factor which represents the concrete nonlinearity and the degree of the accumulated mechanical damage into consideration. There must exist another factor which represents the damage of concrete such as the appearance of microcracking, microscopic buckling and collapse of mortar and aggregates. These phenomena are characteristic of concrete as a composite material.

It is considered that these nonlinear factors can be mechanically explained with the concept of the disappearances of a volume of the constituent material of concrete which has the ability to reserve the elastic strain energy. In this paper, this type of damage in concrete is defined as "fracture". Authors consider that the nonlinearity of concrete can be macroscopically explained with the concept of the plasticity and the fracture.

In the following sections, symbol d and Δ mean the differentiation (infinite value) and difference (finite value) respectively. Index T means the transformation of matrix.

3.2 Basic model of deformation for concrete

In this report, authors adopt the approach of formulation which connects the concepts of the plasticity and the fracture quantitatively with the relationship between biaxial stresses and strains. The following basic models (conceptual models) concerning the stress-strain relationship are assumed.

(1) Concrete is modelled to be constructed by some constituent elements as shown

in Fig. 27. Symbols E_o , E_p , E_e , E, S, S_e indicate the idealized values which represent the degrees of the elastic stiffness of each constituent elemnent, plastic strain, elastic strain, total strain, total stress and element stress respectively. These elements behave as the strain-hardening material as shown in Fig. 26 and are located in parallel. Therefore, the plastic strain level E_p is uniquely determined by the maximum stress level of each element ' $S_e \max$ '. The elastic spring of each constituent element represents the area which reserves the strain energy reversibly. The Z-direction in Fig. 27 corresponds to the direction normal to the biaxial plane stresses.



Fig. 27. Elasto-plastic and fracture model for concrete.

(2) Each constituent element loses its ability to support stress when the applied stress level of each element S_e in Fig. 27 reaches its fracture strength. This assumption represents the appearance of microcracking and local buckling. Accordingly, the fractured elements do not reserve the strain energy at all. This process is the irreversible one.

(3) The fracture strength of constituent elements f is not constant but has a strength distribution P as shown in Fig. 28. Pdf indicates the ratio of elements whose fracture strengths exist between f and f+df. This assumption represents the distribution of material quality in concrete.





The deformational behaviors of concrete are derived from the above assumptions as follows and illustrated in Fig. 27. (I)–(IV).

(1) When the deformation level is low, the element stress level is small. Accordingly, the plasticity and the fracture proceed very little. As a result, the stress-strain relationship becomes almost linear.

(II) In this model, the stress-strain relationship is generally explained as follows. According to the assumption (1), element stress level is

$$S_e = E_0(E - E_p) = E_0 \quad E_e$$
 (8)

where $E = E_e + E_p$

From the assumption, the ratio of fractured constituent elements is

$$n = \int_{0}^{S_{e} \max} P(f) df \qquad S_{e \max} = E_0(E_{\max} - E_p) = E_0 \quad E_{e \max}$$
(9)

where $S_{e \max}$, E_{\max} , $E_{e \max}$: maximum values of element stress, total strain and elastic strain level in the stress and strain hysteresis of deformed concrete.

According to the assumption (1), the total stress level of concrete as a composite is

$$S = (1-n)S_e = (1 - \int_0^{S_e \max} P(f)df)E_0(E - E_p)$$

= $KE_0(E - E_p)$ (10-1)

$$K = 1 - \int_{0}^{S_{e} \max} P(f) df$$
 (10-2)

From the definition, the value of K means the ratio of constituent elements which maintain the abilities to support stress. At an initial condition, the value of K is equal to unity.

(III) In this model, the strain-softening behavior is unifiedly explained as follows. Under the high deformation level, as the plastic strain proceeds, the element stress level becomes large due to the strain-hardening as shown in Fig. 26. As a result, the fracture proceeds rapidly. The reduction of the total stress due to the fracture exceeds the increase of the element stress due to the plastic strain-hardening, therefore, the total stress level as a composite gradually decreases in appearance.

(IV) The unloading process is systematically modelled as follows. If the applied stresses are unloaded, element stress level decreases and the plasticity and fracture does not proceed according to the assumptions (1) and (3). The stress-strain relationship has the same mathematical form as Eq.(10), but in this case, the maximum elastic strain level $E_{e \max}$ is larger than the elastic strain level E_e . Therefore, the idealized stress-strain relation in Eq.(10) becomes linear and its stiffness E_o K is constant. In other words, value of K indicates the ratio of the unloading linear stiffness to the initial one. The effect of the

fracture appears directly in the decrease of the unloading stiffness, accordinly, K is the parameter to represent the degree of the fracture and defined as "fracture parameter".

From a macroscopic point of view, these idealized relationship can describe the behavior of concrete qualitatively with the unified philosophy. Therefore, laws derived from the concepts of the fracture and the plasticity are considered to be effective for constitutive model of concrete. It is implicitly assumed that the degree of the plasticity and the fracture has one-to-one relationship because the plastic strain level and fracture parameter are uniquely determined by the element stress level.

In this modelling, the stress-strain relationship of unloading process is idealized as linear in spite of the nonlinearity of actual behavior under high strain levels. This nonlinearity of concrete is an important factor in analyzing reinforced concrete structures under large and cyclic deformations. However, in this type of analysis, the modelling of time-dependent deformational behaviors of concrete and the effect of cyclic loading hysteresis is also important. Therefore, authors discuss the unloading nonlinearity of concrete with the time-dependent problems in other papers. In this paper, the process where the plasticity and the fracture do not proceed is defined as reversible process, and the process where the plastic strain changes and the fracture proceeds and damage is accumulated in concrete as irreversible process.

3.3 State values to indicate the damage in concrete mechanics

Authors considered that equivalent plastic strain E_p and fracture parameter K are quantitatively introduced in the constitutive model as state values for the plasticity and the fracture. As these parameters represent the state of damage in concrete, they must be defined as scalar values which are independent of the transformation of coordinate system, and which take the effect of strain paths into account.



Fig. 29. Stress and strain paths under the plane stress condition.

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The stress paths in the stress space and corresponding strain paths in the strain space in case of the plane stress condition are demonstrated in Fig. 29. The stress point moves on the limited plane in 6D (six dimensional) stress tensorial space where the stress components σ_{zi} are all equal to zero (i=x,y,z), and the corresponding strain point (state) moves generally in 6D total strain tensorial space. But, in the plane stress constitutive equations, the strain components ϵ_{zz} , ϵ_{zx} and ϵ_{zy} do not explicitly appear. In other words, the plane stress constitutive equation is the mathematical expression which describes the relations between the position of stress point in the stress tensorial space and the projecting position of strain point on the plane where strain components ϵ_{zi} are equal to zero. Considering the lack of stresses and strains' data under 3D condition, constitutive equations in this paper express the strain state with strain tensors ϵ_{xx} , ϵ_{yy} and ϵ_{xy} as illustrated in Fig. 29.

3.3.1 Equivalent stress

The equivalent stress S, which indicates the level of applied stress under plane stress conditions, is introduced. The mean stress σ_0 and the deviatoric stress τ_0 can be defined as stress invariants which are independent of the coordinate transformation.

$$\bar{\sigma}_0 = \sqrt{2} \frac{\sigma_1 + \sigma_2}{2} = \sqrt{2} \frac{\sigma_{xx} + \sigma_{yy}}{2} \tag{11}$$

$$\bar{\tau}_0 = \sqrt{2\left(\frac{\sigma_1 - \sigma_2}{2}\right)^2} = \sqrt{2}\sqrt{\tau_{xy}^2 + \left(\frac{\sigma_{xx} - \sigma_{yy}}{2}\right)^2} \tag{12}$$





Fig. 30. Mean and deviatoric stress coordinates.

Fig. 31. Direction of fracture and stress components.

The geometrical relationship between the stress invariant space $(\bar{\sigma}_0, \bar{\tau}_0)$ and principal stress space $(\bar{\sigma}_1, \bar{\sigma}_2)$ is illustrated in Fig. 30. The mean stress represents the average stress

level in the plane stress condition and has an effect to introduce the fracture normal to the principal stress directions, and the deviatoric stress has an effect to introduce the inplane fracture as shown in Fig. 31.

Let us now consider the isotropic stress state where the deviatoric stress is zero. In this condition, shear stress component τ_{xy} is equal to zero and in-plane normal stress components σ_{xx} and σ_{yy} are equal to $\frac{\bar{\sigma}_0}{\sqrt{2}}$ in any coordinate system. It may be reasonable that the equivalent stress be in proportion to the mean stress.

In the pure shear stress condition, that is, the mean stress is zero where the normal stress components σ_{xx} and σ_{yy} are all equal to zero and the shear stress component $|\tau_{xy}|$ is equal to $\frac{\bar{\tau}_0}{\sqrt{2}}$ at a certain coordinate system, it will be also reasonable to define the equivalent stress as proportional to the deviatoric stress.

In the general case where $\bar{\sigma}_0 \neq 0$, $\bar{\tau}_0 \neq 0$, the equivalent stress should be evaluated to be larger than the stress states ($\bar{\sigma}_0$, 0) and (0, $\bar{\tau}_0$). From these considerations, the equivalent stress S was defined in the form

$$S = \sqrt{(a\bar{\sigma}_0)^2 + (b\bar{\tau}_0)^2}$$
(13)

where coefficients a and b indicate the contribution level of the mean stress and the deviatoric stress to the value of S.

According to Eq.(13), the equivalent stress has the conceptual "length" of stress vector $\{\sigma_{ij}\}$ or distance between the origin and the stress point in the stress space (See Fig. 30.). The envelope which corresponds to the set of constant equivalent stress points on $\bar{\sigma}_0 - \bar{\tau}_0$ space has an elliptical shape. The stress states at the peak conditions with the crushing mode (See Section 2.2.5.) may be considered to have the same stress level respectively. Because, these peak stress points are considered to be in the common condition, where the effect of plastic strain-hardening balances the effect of the fracture so that the apparent tangent stiffness becomes zero (See Section 3.2.). Moreover in the monotonic loading condition, the failure envelope indicated by stresses is little influenced by the stress paths (See Section 2.2.5.).

The data of peak stresses failed in crushing and strain-softening mode on $\bar{\sigma}_0 - \bar{\tau}_0$ space are shown in Fig. 15 and Fig. 32. Coefficients *a* and *b* were determined so that the envelope where the values of the equivalent stress are constant may envelope those peak data with acceptable accuracy as shown in Fig. 32, where

$$a = 0.60/f_c$$
 $b = 1.30/f_c$ (14)

Using Eq.(13) with Eq.(14), the stress level can be evaluated in all the biaxial stress states.



Fig. 32. Failure envelope and the equivalent stress with reported data[2],[16].

3.3.2 Strain measure function

The total strain vector $\{\varepsilon_{ij}\}$ is divided into the elastic and plastic strain vectors in the form

$$\{\varepsilon_{ij}\} = \{\varepsilon_{eij}\} + \{\varepsilon_{pij}\}$$
(15)

where ε_{eij} : elastic strain tensor' ε_{pij} : plastic strain tensor. (Green's tensorial expression is adopted for the strain tensors.)

This research deals with the short-time strains and the time dependent deformations are ignored. Accordingly, delayed elastic and delayed plastic strains in the short-time loading are included in elastic and plastic strains in Eq.(15).

In order to formulate the degrees of elastic, plastic and total strain vectors, authors define the strain measure function with the same procedure as the equivalent stress by

$$F = F(\delta_{ij}) = \sqrt{(c\bar{\varepsilon}_0)^2 + (d\bar{\gamma}_0)^2}$$

$$\bar{\varepsilon}_0 = \sqrt{2} \frac{\delta_{xx} + \delta_{yy}}{2}, \qquad \bar{\gamma}_0 = \sqrt{2} \sqrt{\left(\frac{\delta_{xx} - \delta_{yy}}{2}\right)^2 + \delta_{xy}^2}$$
(16)

where δ_{ij} : 2D tensor' $\bar{\epsilon}_0$, $\bar{\gamma}_0$: the mean and deviatoric components of tensor δ_{ij} .

The coefficients c and d in Eq.(16) can be determined as

$$c = 0.62/\varepsilon_{20} \qquad d = 0.98/\varepsilon_{20} \tag{17}$$

by the same method as in the case of coefficients a and b, because the failure envelope representing the crushing or strain softening mode on the total strain space as shown in Figs. 16 and 33 seems not to be influenced by the strain paths under monotonic loading (See Section 2.2.5.).



Fig. 33. Failure envelope and the strain measure function with reported data[2],[16].

3.3.3 Equivalent elastic strain

When the deformation is limited only in the reversible process where the plastic strain is idealized to be constant, the stress and elastic strain relationship is likely to be linear. Accordingly, the biaxial stiffnesses and Poisson's ratios in the reversible process can be calculated by Eq.(2) and Eq.(3) with the experimental data in Section 2.3.

The ratio of the biaxial stiffnesses under the uniaxial stress conditions is approximately equal to unity as shown in Fig. 34, therefore, the isotropic stiffness in the reversible process can be assumed within acceptable accuracy. The ratio of the diagonal components in the stiffness matrix $E_1\nu_{12}/E_2\nu_{21}$ (See Section 2.2.), is approximately equal to unity at any strain level as shown in Fig. 35.



Fig. 34. Ratio of the biaxial stiffnesses in reversible process.



Fig. 35. Symmetry of stiffness matrix in reversible process.

These results as shown in Fig. 34 and Fig. 35 indicate that the stiffness matrix in the reversible process, in other word, the secant stiffness matrix between total stresses and elastic strains is symmetric and isotropic, and that the relationship between stresses and elastic strains do not depend on the coordinate transformation. Then, as the relationship between stress and elastic strain is not influenced by the strain paths, the equivalent elastic strain E_e which represents the degree of elastic deformation can be described by the integrated form including the strain invariant parameters as in case of the equivalent stress. Therefore, the definition of equivalent elastic strain is

$$E_{e} = F(\delta_{ij} = \varepsilon_{eij}) = F(\delta_{ij} | \delta_{ij} = \varepsilon_{ij} - \varepsilon_{pij})$$
⁽¹⁸⁾

The value of the formulated equivalent elastic strain in Eq.(18) is not dependent on the effects of strain paths but uniquely determined by the update elastic strains.

Let us now consider the criterion of reversible and irreversible processes. According to the basic model of deformation, the yield criterion of concrete as the composite material is equivalent to the yield criterion of each constituent element. From the theory of plasticity, the yield criterion, in other words, the irreversible criterion should be formulated as Eq.(19) with the element stress $\bar{\sigma}_{eij}$ and the loading (or yield) function of each constituent element Q in the form

$$Q(\bar{\sigma}_{eij}) = \bar{\sigma}_{e \max}(E_p) \qquad \frac{\partial Q}{\partial \bar{\sigma}_{eij}} d\bar{\sigma}_{eij} > 0 \tag{19}$$

The maximum stress level of constituent element, $\bar{\sigma}_{e \max}$, has a meaning of the plastic potential which is determined by the effective plastic strain in the theory of plasticity. $\bar{\sigma}_{eii}$ and Q are the imaginary values and cannot be measured directly from experiments.

However, the value of the plastic potential of each constituent element is equivalent to the maximum value of element stress level in this elasto-plastic and fracture model, therefore, using Eq.(9) we find

$$\bar{\sigma}_{e \max} = S_{e \max} = E_0 E_{e \max} \tag{20}$$

Similarly, loading function Q is theoretically indicated by the function which formulates the value of element stress level S_e , where

$$Q(\bar{\sigma}_{eij}) = S_e = E_0 E_e(\varepsilon_{eij}) \tag{21}$$

Substituting Eqs.(20) and (21) into Eq.(19), as the irreversible criterion of elasto-plastic and fracture model, we have

$$E_e(\delta_{ij} = \varepsilon_{eij}) = E_{e \max}$$
(22-1)

$$dE_e = \frac{\partial E_e}{\partial \delta_{ij}} \Big|_{\delta_{ij}} = \varepsilon_{eij} d\varepsilon_{eij} > 0$$
(22-2)

The definition of irreversible process in Eq.(22) gives the envelope on the strain space mathematically as the boundary of reversible area (Reversible area is defined as the elastic area on the strain space where the plasticity and the fracture do not proceed.). This boundary expands and kinematically shifts due to the strain-hardening in the strain space as illustrated in Fig. 36.





In order to confirm the applicability of irreversible criterion by Eq.(22), three types of stress paths as shown in Fig. 37 - Fig. 39 were applied to concrete. Stress and strain paths under uniaxial compressive stress condition are shown in Fig. 37. The stress and strain paths when the tensile principal stress was applied under constant principal com-

pressive stress are shown in Fig. 38. In Fig. 39 are shown the strain path corresponding to the stress path including the rotation of the direction of the maximum principal stress.

The incremental stress-strain relationships in the reversible area ($E_e < E_{e \max}$) are



Fig. 37. Biaxial strain path under uniaxial cyclic compressive loading.



Fig. 38. Biaxial strain path under compression-tension stress state.



Fig. 39. Biaxial strain path under bi-directional cyclic loading.

practically linear and, when the strain state reaches and passes the reversible boundary $E_e = E_{e \max}$ (in the irreversible process), the nonlinearity in stress-strain relationship appears, and the plastic strains flow and the plastic potential expands and shifts as shown in Fig. 37 – Fig. 39. These experimental results verify that the definition of the equivalent elastic strain is a reasonable one for the index of elastic deformation.

3.3.4 Equivalent plastic strain

The equivalent plastic strain E_p should be given so as to represent the level of plastic deformation with mechanically reasonable definition. The plastic strains are influenced by the stress and strain paths, therefore, the equivalent plastic strain cannot be defined only by the integrated plastic strain ε_{Pij} in the total format. The effect of the strain paths must be taken into consideration in the definition of the equivalent plastic strain. From the basic model in Fig. 27, the plastic deformation of concrete composite is equal to the plastic one of each constituent element. In the classical theory of plasticity, there are two types of definitions to evaluate the degree of plasticity.

In strain hardening rule,

$$\bar{\varepsilon}_{pls} = \int d\bar{\varepsilon}_{pls}, \quad d\bar{\varepsilon}_{pls} = \sqrt{d\varepsilon_{pij} \cdot d\varepsilon_{pij}}$$
(23)

In work hardening rule,

$$\bar{\varepsilon}_{plw} = \int d\bar{\varepsilon}_{plw}, \qquad d\bar{\varepsilon}_{plw} = \frac{\bar{\sigma}_{eij}}{S_e} d\varepsilon_{pij}$$
(24)

where $\bar{\varepsilon}_{plw}$, $\bar{\varepsilon}_{pls}$ are equivalent or effective strains.

At first, let us now consider the strain hardening formulation. The effective plastic strain of the strain hardening rule indicates the total tracing length of the plastic strains on the strain space. The values of $\bar{\epsilon}_{pls}$ calculated by Eq.(23) in the plane stress condition are shown in Fig. 19, where the increasing rate of $\bar{\epsilon}_{pls}$ to the increment of uniaxial compressive stress and that of $\bar{\epsilon}_{pls}$ to the increment of the tensile principal stress which was applied in the direction normal to the uniaxial compressive stress are given.

The strain hardening rule in the theory of plasticity requires the one-to-one relationship between the effective plastic strain and element stress level S_{emax} (or maximum value of the equivalent elastic strain E_{emax} , because E_{emax} is in proportion to S_{emax} .) in all the cases of stress and strain paths. The calculated values of plastic flow rate using the data in Fig. 19 are shown in Fig. 40. There exists large difference of the plastic flow rate between the uniaxial stress and compression-tension stress states. Therefore, when the effective plastic strain \bar{e}_{pls} is used for the index of plasticity, it is difficult to formulate this characteristic of plastic deformation with the unified approach such as the strainhardening rule.



This rapid plastic flow advanced by the increment of the tensile stress (See Section 2.3.3.) is characteristic of concrete and is considered to be introduced by the fracture due to the extension of microcrackings in the direction normal to the tensile stress. Therefore, it is not physically reasonable to expect that the fracture due to the extension of microcrackings introduces the strain-hardening.

Let us now consider the case of the work hardening formulation. The definition of the effective plastic strain $\bar{\varepsilon}_{plw}$ in Eq.(24) evaluates the value of the plastic index as smaller in case of the high compression-low tension stress state. Because, if the applied stress is low, the plastic strain work is small even when the larger plastic deformation proceeds. Accordingly, this work-hardening rule does not evaluate the effect of the plastic deformation which is introduced by the fracture as by-product and is considered to be reasonable in this deformational basic model. However, the stress of each constituent element $\bar{\sigma}_{eij}$ is the theoretical value and is not directly measured by experiments.

The formulation of the work hardening plasticity cannot be directly applied to the concrete including the nonlinear factor of the fracture. Accordingly, a new definition which evaluates the plasticity must be introduced for the elasto-plastic and fracture model. Defining the increment of the equivalent plastic strain by the inner product of incremental plastic strain vector and the strain measure vector defined as $\left\{\frac{\partial F}{\partial \delta_{ij}}\right\} \delta_{ij} =$

 ϵ_{eij} which is normal to the boundary of the reversible area, we have

$$E_{p} = \int dE_{p}, \quad dE_{p} = \frac{\partial F}{\partial \delta_{ij}}\Big|_{\delta_{ij}} = \epsilon_{eij} \cdot d\epsilon_{pij}, \quad F\Big|_{\delta_{ij}} = \epsilon_{eij} = E_{e}$$

$$\frac{\partial F}{\partial \delta_{ij}}\Big|_{\delta_{ij}} = \varepsilon_{eij} = \frac{c\bar{\varepsilon}_0 \quad \frac{\partial \bar{\varepsilon}_0}{\partial \delta_{ij}} + d\bar{\gamma}_0 \frac{\partial \bar{\gamma}_0}{\partial \delta_{ij}}}{F}\Big|_{\delta_{ij}} = \varepsilon_{eij} = \frac{E_0 \left(c\bar{\varepsilon}_0 \frac{\partial \bar{\varepsilon}_0}{\partial \delta_{ij}} + d\bar{\gamma}_0 \frac{\partial \bar{\gamma}_0}{\partial \delta_{ij}}\right)}{E_0 E_e}\Big|_{\delta_{ij}} = \varepsilon_{eij}$$

$$(E_0 E_e) dE_p = E_0 \left(c\bar{\varepsilon}_0 \frac{\partial \bar{\varepsilon}_0}{\partial \delta_{ij}} + d\bar{\gamma}_0 \frac{\partial \bar{\gamma}_0}{\partial \delta_{ij}}\right)\Big|_{\delta_{ij} = \varepsilon_{eij}} \cdot d\varepsilon_{pij} \qquad (25)$$

Compared with Eq.(24), the first term of the right hand side of Eq.(25) corresponds to the constituent elements' stresses $\bar{\sigma}_{eij}$.

In another form, the increment of equivalent plastic strain is

$$dE_{p} = \left\| \frac{\partial F}{\partial \delta_{ij}} \right|_{\delta_{ij} = \epsilon_{ej}} \left\| \cdot \left\| d\epsilon_{pij} \right\| \cos \theta_{0} = \left\| \frac{\partial F}{\partial \delta_{ij}} \right|_{\delta_{ij} = \epsilon_{ej}} \left\| \cdot d\bar{\epsilon}_{pis} \cdot \cos \theta_{0} \right\|$$
(26)

where, θ_0 represents the angle between strain measure vector and incremental plastic strain vector. The equivalent plastic strain can be also understood to be the modification of the effective plastic strain in the strain hardening rule.

3.3.5 Equivalent total strain

Equivalent total strain E, which represents the degree of the total strain vector $\{\varepsilon_{ij}\}$, should be given by the summation of the equivalent elastic and plastic strains from the basic model of deformation as follows.

$$E = E_e + E_p \tag{27}$$

By substituting Eqs.(22) and (25) into Eq.(27), the following incremental equations concerning the equivalent total strain are obtained.

In the reversible process, $d\varepsilon_{pij} = 0$, then

$$dE = dE_e + dE_p$$

$$= \frac{\partial F}{\partial \delta_{ij}}\Big|_{\delta_u = \epsilon_{ey}} \cdot (d\epsilon_{ij} - d\epsilon_{pij}) = \frac{\partial F}{\partial \delta_{ij}}\Big|_{\delta_u = \epsilon_{ey}} \cdot d\epsilon_{ij}$$
(28)

where $E = E_e + E_p \leq E_{e \max} + E_p = E_{\max}$ (reversible criterion) In irreversible process, $d\epsilon_{pij} \neq 0$, then

$$dE = dE_e + dE_p = \frac{\partial F}{\partial \delta_{ij}}\Big|_{\delta_u = \epsilon_{eu}} (d\epsilon_{ij} - d\epsilon_{pij}) + \frac{\partial F}{\partial \delta_{ij}}\Big|_{\delta_u = \epsilon_{eu}} d\epsilon_{pij}$$
$$= \frac{\partial F}{\partial \delta_{ij}}\Big|_{\delta_u = \epsilon_{eu}} \cdot d\epsilon_{ij}$$
(29)

where
$$dE = dE_e + dE_p > 0$$
 (30)

and $E = E_e + E_p = E_{e \max} + E_p = E_{\max}$ (irreversible criterion).

The equivalent total strain is calculated by the unique equation without classification between the reversible and irreversible processes. The criterion of reversible and irreversible processes is also formulated by the equivalent total strain with simple forms as Eq.(29) and Eq.(30). This equivalent total strain is formulated by the total strain tensors, therefore, this criterion can be also used in the strain softening area, where the classical theory of plasticity cannot be applied. It is very useful in the nonlinear finite element procedure.

The equivalent total strain is experimentally calculated by

$$E = \sum \Delta E$$

$$\Delta E = \frac{\partial F}{\partial \delta_{ij}} \Delta \varepsilon_{ij} = F(\delta_{ij} | \delta_{ij} = \varepsilon_{ij} + \Delta \varepsilon_{ij} - \varepsilon_{pij})$$

$$-F(\delta_{ij} | \delta_{ij} = \varepsilon_{ij} - \varepsilon_{pij})$$
(31)

3.4 Rate of the plasticity

The state values of E_p , E_e and E can be calculated from the uniaxial and biaxial tests by Eqs.(18), (27) and (31). The relation between the equivalent plastic strain E_p and the maximum value of the equivalent total strain ' E_{max} ' is shown in Fig. 41. The equivalent plastic strain is considered to be uniquely determined by the maximum value of the equivalent total strain under arbitrary strain paths including uniaxial and biaxial stress states. The relationship between the equivalent plastic strain and the maximum level of the equivalent elastic strain ' E_{max} ' are shown in Fig. 42. There exists unique correlation



Fig. 41. Progress of plastic deformation under biaxial stress states.



Fig. 42. Relation between equivalent elastic and plastic strains.
between E_p and $E_{e \max}$ from experiments and $E_{e \max}$ is proportional to the element stress level Semax from Eq.(9) in arbitrary loading paths. Therefore, there exists unique relation between the equivalent plastic strain and the element stress level. This means that the equivalent plastic strain is suitable for plastic index of the elasto-plastic and fracture model. In taking the numerical merits of FEM analysis into account, the equivalent plastic strain is indicated by

$$E_{P} = E_{P}(E_{\max}) = E_{\max} - \frac{20}{7} (1 - \exp(-0.35 E_{\max}))$$
(32)

3.5 Rate of the fracture

From the definition, the fracture parameter K is directly measured from experiments as the ratio of the secant stiffness in the reversible process to the initial stiffness in the concept of the elasto plastic and fracture model. The reversible stiffness is verified to be isotropic in Section 3.3.3, therefore, the fracture parameter is suitable for the state value of concrete.

The secant stiffness $E_o K$ is calculated by Eq.(10) and uniquely determined by the maximum stress level of each constituent element (See Eq.(10).), therefore, the value of $E_o K$ must be expressed by the function of $E_{e \max}$ or E_{\max} such as the definition of the equivalent plastic strain.





The relationship between the fracture parameter K and maximum equivalent total strain E_{\max} which were calculated from the biaxial experimental data is shown in Fig. 43, where exists a unique correlation between K and E_{max} . The mathematical indication of the fracture parameter is

$$K = exp(-0.73 E_{\max}(1 - exp(-1.25 E_{\max})))$$
(33)

The existence of the unique relation means the practical applicability of the concepts

of the plasticity and the fracture to the model of concrete under biaxial stress states. The strength distribution P can be inversely calculated by solving the definition of the fracture parameter as

$$P(E_0(E_{\max} - E_p)) = -(dK/dE_{\max})/\{E_0(1 - dE_p/dE_{\max})\}$$
(34)

Strength distribution P is numerically obtained from Eq.(34) as shown in Fig. 44. Then, the coefficient E_o is set to be 2.0 so as to make the value of K at $E_{max}=0$ equal to unity.



Fig. 44. Calculated fracture strength distribution of constituent elements.

3.6 Relations of the equivalent stress and the equivalent strain

From the basic modellings of deformation, the equivalent stress is

$$S = E_0 K (E - E_p) \tag{35}$$

where K and E_p are the functions of E_{\max} .

The calculated values of S and E from the experiments are plotted together with the analytical prediction by Eq.(35) in Fig. 45. This equation, which is defined as the elastoplastic and fracture constitutive equation, can predict the macroscopic stress-strain relations with reasonable accuracy.





The elasto-plastic and fracture constitutive equation can be applied to the reversible and irreversible processes with the same mathematical format, accordingly, it is very convenient to be used in the cyclic loading analysis.

Let us consider the case of biaxial and proportional loading²⁾. In the monotonic loading condition where the increment of the equivalent total strain is always positive, the equivalent total strain is practically given in the form

$$E = \int \frac{\partial F}{\partial \delta_{ij}} \bigg|_{\delta_{ij} = \epsilon_{\epsilon ij}} d\epsilon_{ij} = F(\epsilon_{ij})$$
(36)

The derivation of Eq.(36) is explained in Appendix L

The experimental relations between the equivalent stress and equivalent strain in biaxial compressions and the predicted relations by Eq.(35) are shown in Fig. 46. The monotonic equivalent stress-strain relations can be easily obtained by substituting E into E_{\max} in Eq.(35), because the maximum level of the equivalent total strain E_{\max} in the monotonic loading condition is always equal to the update equivalent total strain E. The integrated form of equivalent total strain in Eq.(36) corresponds to experimental fact of the path-independency discussed in Section 2.2.5.



Fig. 46. Equivalent stress-strain relationship under biaxial compression stress states with reported data[2].

3.7 Concluding remarks

In order to express the investigated deformational behaviors of concrete mathematically, the concepts of equivalent elastic, plastic and total strains were introduced for representing the levels of elastic, plastic and total deformations. Moreover, the concept of "fracture" was newly introduced for indicating the irreversible nonlinear behavior and formulated by a fracture parameter.

Organizing these scalar values, authors succeeded in deriving a simple formed constitutive equation as follows.

(1) From the experimental results, it was verified that the relationship between stress and strain under unloading condition in compression - tension stress states can be expressed by the isotropic and symmetric secant stiffness matrix. Based on this experi-

mental result, the equivalent elastic strain was introduced for representing the "length" of the elastic strain vector. By using this equivalent elastic strain, the elasticity criterion under biaxial stress states could be derived, and its applicability was checked by the various types of stress paths. With acceptable accuracy, this criterion can be used in any deformational process even in the strain-softening area, where the theory of plasticity can not be applied theoretically.

(2) The equivalent plastic strain, the path-dependent scalar invariant, was newly introduced by modifying the theory of plasticity to express the progress of biaxial plastic strains.

(3) Together with the equivalent plastic strain, the concept of fracture was introduced to express the nonlinearities of concrete. It is idealized as the dissipation of elastic strain energy due to the disappearance of a part of volume which constitutes concrete, such as the local buckling in concrete. In order to formulate the fracture of concrete, the fracture parameter was defined as a state value to represent the degree of accumulated damage in concrete. The fracture parameter is successful in expressing the decrease of unloading stiffness of concrete.

(4) Organizing the concepts of elasticity, plasticity and fracture, authors succeeded in deriving the elasto-plastic and fracture constitutive equation which gives the invariant of stress vector under arbitrary strain paths. The mathematical form of the derived constitutive equation is very simple and easy to be used in the numerical analysis, because it was formulated by the unified philosophy to concrete mechanics.

4. Formulation of Flow Rule

In Chapter 3, the relation between the degree of the stress vector (equivalent stress) and the level of the strain vector (equivalent strain) was derived by the unified concept, named the elasto-plastic and fracture constitutive law. However, if there is no constitutive equation other than the elasto-plastic and fracture law, the stress vector under an arbitrary strain path can not be determined, because the numbers of the unknown values are more than those of the constitutive law which formulates the directional correlation between the stress vector and the strain vector is necessary for deriving the complete plane stress constitutive equations. In this paper, this type of constitutive law is named as 'flow rule'.

There exist some flow rules for concrete, such as, normality rule in the theory of plasticity ¹⁰, compression field theory ²⁰, hypo-elasticity model (See Section 1.) and so on. However, these modellings are not careful for the anisotropy of concrete under compression-tension stress state.

The main objective of this section is to formulate the flow rule in taking the anisotropy of concrete into account.

4.1 New system of flow rule

Differentiation terms of higher orders are disregarded in formulating flow rule equations, therefore, formulated differential equations in this paper are first linear differential ones. Three dimensional plane stress vector $\{\sigma_{ij}\}$ can be expressed by two dimensional stress invariant vector $(\sigma_0, \bar{\tau}_0)^T$ and the principal stress direction ' θ ' which indicates the direction of the maximum principal stress to the X-coordinate in the form

$$\{\sigma_{ij}\} = [c(\theta)] \begin{bmatrix} \bar{\sigma}_0 \\ \bar{\tau}_0 \end{bmatrix}, \qquad [c(\theta)] = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & \cos 2\theta \\ 1 - \cos 2\theta \\ 0 & \sin 2\theta \end{bmatrix}$$
$$\{\sigma_{ij}\} = (\sigma_{xx}, \sigma_{yy}, \sigma_{xy})^T$$
$$\theta = T(\delta_{ij} = \sigma_{ij})$$
$$T(\delta_{ij}) = \frac{\pi}{2} - \sin n(\delta_{xy}) \tan^{-1} \sqrt{\frac{2\sqrt{\left(\frac{\delta_{xx} - \delta_{yy}}{2}\right)^2 + \delta_{xy}^2 + \delta_{xx} - \delta_{yy}}{2\sqrt{\left(\frac{\delta_{xx} - \delta_{yy}}{2}\right)^2 + \delta_{xy}^2 + \delta_{xy} - \delta_{xx}}}}$$
(37)

where, counterclockwise direction is defined positive.

The differentiation of Eq.(37) takes the form

$$\{d\sigma_{ij}\} = [c(\theta)] \begin{bmatrix} d\bar{\sigma}_0 \\ d\bar{\tau}_0 \end{bmatrix} + \frac{d}{d\theta} [c(\theta)] \begin{bmatrix} \bar{\sigma}_0 \\ \bar{\tau}_0 \end{bmatrix} d\theta$$
(38)

where, the first term of the right side of Eq.(38) represents the increment of the stress vector caused by the increment of the stress invariant vector under the condition where the principal stress direction is fixed, say $d\theta=0$, and the second term corresponds to the component of the increment of the stress vector due to the rotation of the principal stress axis under the constant stress invariants.

In this paper, the system of the flow rule is composed of equations to determine the direction of the stress invariant vector and an equation to determine the principal stress direction under an arbitrary strain path. When this mathematical approach is adopted, the direction of two dimensional stress invariant vector can be formulated in using the biaxial loading tests with the loading paths of the fixed principal stress direction.

The principal stress direction can be formulated by using the experimental data which were carried out under the stress conditions with the rotation hysteresis of principal axis reported in Section 4.3. This approach can make the experimental loading paths coincide with the loading hysteresis used in deriving the constitutive equations. Moreover, the experimental data are not used only for determining the material coefficients in

the constitutive equations already constructed, but can be directly used for deriving the flow rule.

The previously reported theories as to the flow rules⁵), 7), 8), 11), 12), 20) were in general formulated in considering the simplicity of mathematical treatment and were difficult to be checked whether these models could describe the behaviors of concrete or not, because plane stress state is described by three or six dimensional vector. Taking these problems into account, authors took up this mathematical approach of deriving the constitutive flow laws, where the plane stress state is described by the two dimensional invariant vector and one dimensional stress direction.

In the first place, the direction of stress invariant vector is formulated under the loading paths where the principal stress direction remains constant, say $d\theta=0$.

In the second place, applicability of flow rule equations in case of the loading paths with the principal axis rotation are verified by a series of principal stress rotation tests reported in Section 4.3.

In the third place, the flow rule equation which predicts the principal stress direction θ is derived from the principal stress rotation tests.

4.2 Direction of stress invariant vector

The objective of this section is to formulate the directional correlation between the direction of the stress invariant vector $(\bar{\sigma}_0, \bar{\tau}_0)^T$ and the strain vector $\{\varepsilon_{ij}\}$ under the loading paths where the principal stress direction remains constant.

4.2.1 Flow rule No. 1 and determination of isotropic stiffness

As the stiffness matrix becomes isotropic and symmetric in the reversible process (See Section 3.3.3.), we have

$$\begin{bmatrix} \varepsilon_{e_1} \\ \varepsilon_{e_2} \end{bmatrix} = \frac{1}{E^*} \begin{bmatrix} 1 & -\nu^* \\ -\nu^* & 1 \end{bmatrix} \begin{bmatrix} \sigma_1 \\ \sigma_2 \end{bmatrix}$$
(39)

According to the isotropic and symmetric form of secant stiffness matrix in Eq.(39), the relation of the elastic strain vector and the total stress vector does not depend on the coordinate transformation. Therefore, using Eqs.(11), (12) and (16) in any coordinate system, Eq.(39) becomes

$$\begin{bmatrix} \bar{\varepsilon}_0 \\ \bar{\gamma}_0 \end{bmatrix} = \frac{1}{\bar{E}^*} \begin{bmatrix} 1 - \nu^* & 0 \\ 0 & 1 + \nu^* \end{bmatrix} \begin{bmatrix} \bar{\sigma}_0 \\ \bar{\tau}_0 \end{bmatrix}_{\delta_{ij} = \varepsilon_{eij}} \dots \text{ Flow Rule No. 1}$$
(40)

This constitutive equation which formulates the directional correlation between the stress and elastic strain invariant vector is named as flow rule No. 1 which was experi-

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mentally determined.

The flow rule No. 1 includes two parameters, reversible Poisson's ratio ν^* and reversible stiffness E^* .

The reversible Poisson's ratio is the most important material parameter to control the flow rule No. 1. The relationship between this isotropic Poisson's ratio in the reversible process and the experimental maximum value of the equivalent total strain is shown in Fig. 47. This relationship was obtained from the data of the compression-tension loading tests under the reversible process (See Chapter 2.).





When the maximum level of deformation in the strain history is low, say $E_{\text{max}} < 0.5$, the reversible Poisson's ratio is nearly constant and equal to the initial value. When the maximum equivalent strain E_{max} exceeds 0.5, it increases linearly.

As shown in Fig. 47, the unique relationship between the reversible Poisson's ratio ν^* , and maximum level of deformation E_{max} is observed in the low level of E_{max} . However strictly speaking, the assumption of linearity of stress-strain relations in the reversible process is not correct and the value of the isotropic Poisson's ratio ν^* changes a little within the reversible process, especially when concrete has the hysteresis of large deformation in the strain paths ($E_{max} \neq 1.0$) as shown in Fig. 47. Then, the reversible Poisson's ratio is also influenced by equivalent total strain. But, the scattering of the experimentally obtained reversible Poisson's ratio is small compared with its sensitibity to the change of the maximum equivalent strain, so that the unique relationship between the reversible Poisson's ratio and the maximum equivalent strain is assumed at any time of the reversible process for simplicity as

$$\nu^* = \nu_0 \qquad E_{\max} \le 0.5$$

$$\nu^* = \nu_0 (1.8(E_{\max} - 0.5) + 1.0) \qquad 0.5 < E_{\max} \qquad (41)$$

where $\nu^* < 0.5$ $\nu_0 = 0.17$

The data of the reversible Poisson's ratio in case of the large deformation level, such as $E_{\text{max}} > 1$, are lacking, therefore, for the time being, the value of ν^* is limited within 0.5 as Eq.(41), where the volume expansion is idealized not to occur in the reversible process even if the uniaxial compressive stress is applied.

The coefficient E^* controls the scalar relations of the stress and elastic strain invariant vectors. Therefore, the reversible stiffness E^* can be obtained by solving this flow rule No. 1 and the elasto-plastic and fracture constitutive equation simultaneously. Substituting Eq.(40) into Eq.(35), we find that E^* must satisfy

$$S\left(\frac{E^*}{1-\nu^*}\bar{\epsilon}_0, \ \frac{E^*}{1+\nu^*}\bar{\gamma}_0\right)\Big|_{\delta_{ij}=\epsilon_{eij}} = K(E_{\max})E_0E_e(\bar{\epsilon}_0, \ \bar{\gamma}_0)\Big|_{\delta_{ij}=\epsilon_{eij}}$$
(42)

Using the function S and F ($E_e=F$) given in Eq.(13) and Eq.(16) respectively, the reversible stiffness E^{*} can be explicitly solved as

$$E^{*} = \frac{\sqrt{(c\bar{\varepsilon_{0}})^{2} + (d\bar{\gamma}_{0})^{2}}}{\sqrt{\left(\frac{a\bar{\varepsilon_{0}}}{1 - \nu^{*}}\right)^{2} + \left(\frac{b\bar{\gamma}_{0}}{1 + \nu^{*}}\right)^{2}}} \left|_{\delta_{ij} = \varepsilon_{eij}} \cdot E_{0}K(E_{\max})\right|$$
$$= \frac{E_{0}E_{e}K(E_{\max})}{S\left(\frac{\bar{\varepsilon_{0}}}{1 - \nu^{*}}, \frac{\bar{\gamma}_{0}}{1 + \nu^{*}}\right)} \left|_{\delta_{ij} = \varepsilon_{eij}} \right|_{\delta_{ij} = \varepsilon_{eij}}$$
(43-1)

$$E^* = \frac{\sqrt{(c(1-\nu^*)\bar{\sigma}_0)^2 + (d(1+\nu^*)\bar{\tau}_0)^2}}{\sqrt{(a\bar{\sigma}_0)^2 + (b\bar{\tau}_0)^2}} E_0 K(E_{\max})$$
(43-2)

Let us now consider the reversible process. In this condition, the plastic strains are idealized constant, that is, the increment of the elastic strains are equal to the increment of the total strains. Accordingly, when the values of stresses and strains at time t are known and the values of the total strains at time t+dt are given, the stress invariant vectors at time t + dt can be calculated by the flow rule No. 1(Eq.(40)). The flow rule system in the reversible process is constructed only with the Flow rule No. 1.

4.2.2 Flow rule No. 2 and No. 3, and directions of stress and strain invariant vectors in irreversible process

In the case of the reversible process, the fracture parameter and equivalent plastic strain are constant and independent of the strain paths, so that, the flow rule No. 1 can be explicitly formulated in the integrated form of stress and strain vectors. But, in the case of the irreversible process, the fracture parameter and the equivalent plastic strain are not constant, moreover these values are evaluated by the path-integration, therefore, it is impossible to formulate the flow rule with the integrated stress and strain formats in the irreversible process.

Accordingly, authors chose the approach to construct the system of the flow rule in difference forms from the experimental data and next, to generalize the difference system to the simultaneous system of differential constitutive equations.

Increment of the stress invariant vector $(\Delta \bar{\sigma}_0, \Delta \bar{\tau}_0)$ in the irreversible process can be calculated from experimental data using Eq.(11) and Eq.(12). In this process, the finite difference of stress increments were 2-5% of the uniaxial compressive strength.





(44-2)

The increment of the stress invariant vector can be divided into two vectors, say, V_1 which does not change the equivalent stress and V_2 which increases the value of the equivalent stress as shown in Fig. 48. The component vector V_1 is defined to converge the tangent vector which touches the envelop at the stress point $(\bar{\sigma}_0^t, \bar{\tau}_0^t)$ where the equivalent stress S is constant and equal to $S(\bar{\sigma}_0^t, \bar{\tau}_0^t)$ when the stress increment becomes infinitely small. V_2 is defined as the component vector at point B on this envelope as shown in Fig. 48. In this paper, this dividing rule of stress invariant vector is named as flow rule No. 2.

The mathematical definition of the flow rule No. 2 can be written in the form

$$V = \begin{bmatrix} \Delta \bar{\sigma}_0 \\ \Delta \bar{\tau}_0 \end{bmatrix} = V_1 + V_2 = \begin{bmatrix} \Delta \bar{\sigma}_{on} \\ \Delta \bar{\tau}_{on} \end{bmatrix} + \begin{bmatrix} \bar{\sigma}_0^t + \Delta \bar{\sigma}_{on} \\ \bar{\tau}_0^t + \Delta \bar{\tau}_{on} \end{bmatrix} \Delta l$$
(44-1)
$$S(\bar{\sigma}_0^t + \Delta \bar{\sigma}_{on}, \ \bar{\tau}_0^t + \Delta \bar{\sigma}_{on}) = S(\bar{\sigma}_0^t, \ \bar{\tau}_0^t)$$
(44-2)

where, the position vector of point B on the stress space is defined as $(\bar{\sigma}_0^t + \Delta \bar{\sigma}_{on}, \bar{\tau}_0^t + \Delta \bar{\sigma}_{on})$ $\Delta \bar{\tau}_{on}$), accordingly the vector V_2 is defined to be parallel to the position vector of point **B.** $\triangle \ell$ is proportional coefficient.

In the biaxial experimental data, incremental stress invariant vector are given values,

therefore, unknown values $\Delta \bar{\sigma}_{on}$, $\Delta \bar{\tau}_{on}$ and $\Delta \ell$ can be easily and uniquely determined by solving Eq.(44-1) and Eq.(44-2) simultaneously.

In the irreversible process, the incremental strain invariant vector $(\Delta \bar{\epsilon}_0, \ \Delta \bar{\gamma}_0)^T$ which indicates the degree of the incremental total strain vector is

$$\bar{\varepsilon}_{0}^{t} \equiv \bar{\varepsilon}_{0} (\delta_{ij} = \varepsilon_{eij}^{t}) \tag{45-1}$$

$$\bar{\gamma}_0^t \equiv \bar{\gamma}_0(\delta_{ij} = \varepsilon_{eij}^t) \tag{45-2}$$

$$\Delta \bar{\varepsilon}_0 \equiv \bar{\varepsilon}_0 (\delta_{ij} = \varepsilon_{eij}^t + \Delta \varepsilon_{ij}) - \bar{\varepsilon}_0^t$$
(45-3)

$$\Delta \bar{\gamma}_0 \equiv \bar{\gamma}_0 (\delta_{ij} = \varepsilon^t_{eij} + \Delta \varepsilon_{ij}) - \bar{\gamma}_0^t$$
(45-4)

Similar to the case of vector V, authors considered to divide the strain invariant vector $X = (\Delta \bar{\epsilon}_0, \ \Delta \bar{\gamma}_0)^T$ into component vector X₁, which does not change the equivalent total strain and X₂, which increases the value of the equivalent total strain.

If the constitutive equations, which indicate the directional correlations between X_1 and V_1 and between X_2 and V_2 , are formulated, the system of flow rule is completed under an arbitrary strain path in the irreversible process.





According to this consideration, it is reasonable to define the component vector X_1 as the tangent vector which touches the envelope at point $(\bar{\varepsilon}_0^t, \bar{\gamma}_0^t)$ where the equivalent strain E is constant, when the strain increment becomes infinitely small as shown in Fig. 49. The mathematical definition is written as

$$X = \begin{bmatrix} \Delta \bar{\epsilon}_0 \\ \Delta \bar{\gamma}_0 \end{bmatrix} = X_1 + X_2, \qquad X_1 \equiv \begin{bmatrix} \Delta \bar{\epsilon}_{on} \\ \Delta \bar{\gamma}_{on} \end{bmatrix}$$
(46-1)
$$F(\bar{\epsilon}_0^t + \Delta \bar{\epsilon}_{on}, \quad \bar{\gamma}_0^t + \Delta \bar{\gamma}_{on}) = F(\bar{\epsilon}_0^t, \quad \bar{\gamma}_0^t)$$
(46-2)

where, the component vector X_2 is still unknown.

Let us now consider the condition where the stress incremental vector V is indicated only by the component V_1 . This stress path corresponds to the hysteresis in which the equivalent stress remains constant, therefore, the corresponding incremental strain vector must be divided only with X_1 due to Eq.(35). This loading path exists on the boundary of reversible area whose functional corresponds to the irreversible criterion in Eq.(30). (This loading hysteresis is defined as 'neutral process'). In this case, the flow rule in the irreversible process must coincide with that in the reversible process (the requirement of continuity condition). Therefore, the directional correlation between stress and strain invariant vectors in this neutral process must be described by the flow rule No. 1 as

$$\begin{bmatrix} \bar{\varepsilon}_0^t + \Delta \bar{\varepsilon}_{on} \\ \bar{\gamma}_0^t + \Delta \bar{\gamma}_{on} \end{bmatrix} = \frac{1}{E^*} \begin{bmatrix} 1 - \nu^* & 0 \\ 0 & 1 + \nu^* \end{bmatrix} \begin{bmatrix} \bar{\sigma}_0^t + \Delta \bar{\sigma}_{on} \\ \bar{\tau}_0^t + \Delta \bar{\tau}_{on} \end{bmatrix}$$
(47)

The values of ν^* and E^* in Eq.(47) are determined by Eq.(41) and Eq.(42). From this continuity condition, authors define to apply the flow rule No. 1 (Eq.(47)) to the flow rule equation which formulates the directional correlation between the stress component vector V_1 and the strain component vector X_2 not only in this neutral process but in the general irreversible one.

Accordingly, the strain component vector X_1 is calculated from the stress component vector V_1 , and the other component vector X_2 can be experimentally obtained by Eqs.(46-1) and (45). The formulation of the direction of the strain component vector X_2 completes the system of flow rule as the directional correlation of total stress and strain vectors mathematically.

The component vector V_2 on the point B in the stress space (Fig. 48) and the corresponding strain component vector X_2 on the point B' in the strain space (Fig. 49) are respectively plotted in each strain level as shown in Fig. 50(a) – Fig. 50(e), where the coordinate of point B' is defined as $(\bar{\varepsilon}_0^t + \Delta \bar{\varepsilon}_{on}, \bar{\gamma}_0^t + \Delta \bar{\gamma}_{on})$. The arrows in these figures represent the directions of V_2 and X_2 respectively, points B4–B6 and B4'–B6' correspond to the stress and strain points B and B' in biaxial compression stress states, and points B1–B3 and B1'–B3', the compression-tension stress states on the stress and strain



(a) S=0.19 Ee=0.098:

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Fig. 50. Direction of component vector X₂:

spaces respectively. In the irreversible process at the low equivalent elastic strain levels, vector X_2 is almost parallel to the position vector of B' $(\bar{\varepsilon}_0^t + \Delta \bar{\varepsilon}_{on}, \bar{\gamma}_0^t + \Delta \bar{\gamma}_{on})$ at all

the ratios of the principal stresses (B1-B6, B1'-B6').

However, as the equivalent elastic strain level becomes larger, the directions of the vector X_2 become non-parallel to the direction of the position vector B' as shown in Fig. 50(c) - 50(e). Then, the following interesting fact is observed that, the extensions of vectors X_2 on B' converge to the common point Z_c on the mean stress axis in biaxial compression stress states (B4-B6, B4'-B6'), and to the other convergence point Z_t in case of the compression-tension stress state (B1-B3, B1'-B3').

According to this indication, convergence points Z_c and Z_t coincide with the origin on the strain space when X_2 is parallel to the position vector of Point B'. Therefore, the direction of the vector X_2 can be unifiedly formulated using the coordinate values (α, β) of these convergence points without any stress component in the form

$$X_{2} = \begin{bmatrix} \bar{\varepsilon}_{0}^{t} + \varDelta \varepsilon_{on} - \alpha \\ \bar{\gamma}_{0}^{t} + \varDelta \bar{\gamma}_{on} - \beta \end{bmatrix} \varDelta m$$
(48)

Substituting Eq.(48) into Eq.(46-1), we can find the direction of the strain invariant vector in the irreversible process and flow rule No. 3 is defined by

$$X = X_1 + X_2 = \begin{bmatrix} \Delta \bar{\varepsilon}_{on} \\ \Delta \bar{\sigma}_{on} \end{bmatrix} + \begin{bmatrix} \bar{\varepsilon}_0^t + \Delta \bar{\varepsilon}_{on} - \alpha \\ \bar{\gamma}_0^t + \Delta \bar{\sigma}_{on} - \beta \end{bmatrix} \Delta m$$
(49)

... Flow Rule No. 3

In this newly proposed flow rule system, proportional coefficients m and l are the unknown values, which control the "lengths" of stress and strain vectors (See Section 3.). Therefore, the scalar relation of the parameter m and ℓ should be determined by the elasto-plastic and fracture constitutive law which formulates the relations between the scalar invariant vector of the stress and the strain vectors.





As a result, the flow rule system to predict the direction of the stress invariant vector is organized with flow rule equations No. 1 - No. 3 as shown in Fig. 51.

Let us now consider the case where $(\alpha, \beta) = (0,0)$. From the flow rule No. 1, No. 2 and No. 3, the isotropic relationship similar to the flow rule No. 1 is also derived in the irreversible process as

$$\begin{bmatrix} \bar{\varepsilon}_0^t + \Delta \bar{\varepsilon}_0 \\ \bar{\gamma}_0^t + \Delta \bar{\gamma}_0 \end{bmatrix} = \frac{1 + \Delta m}{1 + \Delta l} \frac{1}{E^*} \begin{bmatrix} 1 - \nu^* & 0 \\ 0 & 1 + \nu^* \end{bmatrix} \begin{bmatrix} \bar{\sigma}_0^t + \Delta \bar{\sigma}_0 \\ \bar{\tau}_0^t + \Delta \bar{\tau}_0 \end{bmatrix}$$
(50)

which describes the direction of the stress and strain invariant vector at time $t+\Delta t$. But, when the convergence points Z_c and Z_t shift from the origin in the strain space, the isotropic relationship as Eq.(50) in the irreversible process does not hold, but the anisotropic and non-symmetric matrix of tangent stiffness is derived from the system of the flow rule (Mathematical derivations of general anisotropic constitutive equations will be discussed in Section 4.3.5.). Therefore, the parameters (α , β) represents the degree of the anisotropy of concrete. When these parameters are nearly zero, the behavior of concrete is nearly isotropic, and the larger values of these anisotropy parameters describe the level of the anisotropic behavior of concrete.

4.2.3 Formulation of the anisotropy

The kinematic shift of these convergence points Z_c and Z_t represents the relatively large strain in the maximum principal stress direction compared with the strain in the minimum one, and corresponds to the anisotropy in the large deformational level. The existence of two convergence points concerning the ratios of the principal stresses reflects the characteristics of the more anisotropic behavior of concrete under high compression tension stress state. According to Fig. 52, the anisotropy parameters (α , β) in biaxial compression stresses are given by





$$(\alpha, \beta) = (gE_e/C, 0)$$

(51)

The compression-tension convergence point Z_t is located on the line between point Z_c and the uniaxial compression point on the envelope where equivalent total strain is constant. Therefore, the coordinate values of point Z_t on the strain space in biaxial compression-tension, tension-tension stress states is

$$(\alpha, \beta) = \left(gE_e/c + h \left(\frac{-E_e}{\sqrt{c^2 + \left(\frac{1+\nu^*}{1-\nu^*}d\right)^2} - gE_e/c}\right), \quad h \frac{E_e}{\sqrt{\left(\frac{1-\nu^*}{1+\nu^*}c\right)^2 + d^2}}\right)$$
(52)

These coefficients g and h which are named as 'flow rule parameters' are shown in Fig. 53, where those coefficients were calculated by the authors' biaxial test data (Chapter 2) and Kupfer's one²⁾. The values of g and h can be formulated with reasonable accuracy by the equivalent elastic strain as





$$g = 0 E_e \le 0.46 E_e$$

= 0.3(2.6 $E_e - 1.2$) 0.46 < E_e
$$g \le 0.3 E_e \le 0.28 E_e$$

= 5 $E_e - 1.4 0.28 < E_e$
 $h \le 0.9 (54)$

4.2.4 Differential forms of flow rules

In this section, the linearization of the nonlinear flow rules No. 1 - No. 3 is carried

out to generalize these flow rule equations mathematically, and the constitutive equation which predicts the stress invariant vector under general strain paths shall be formulated by solving these flow rule equations and the previously formulated elasto-plastic and fracture equation simultaneously.

The flow rule No. 2 is linearized by disregarding differential terms of higher orders as

$$\begin{bmatrix} d\bar{\sigma}_0 \\ d\bar{\tau}_0 \end{bmatrix} = \begin{bmatrix} S \ 1 \end{bmatrix} \begin{bmatrix} dj \\ dl \end{bmatrix}, \quad \begin{bmatrix} S \ 1 \end{bmatrix} = \begin{bmatrix} -\frac{\partial S}{\partial \bar{\tau}_0} & \bar{\sigma}_0 \\ \frac{\partial S}{\partial \bar{\sigma}_0} & \bar{\tau}_0 \end{bmatrix}, \quad \begin{bmatrix} d\bar{\sigma}_{on} \\ d\bar{\tau}_{on} \end{bmatrix} = \begin{bmatrix} -\frac{\partial S}{\partial \bar{\tau}_0} \\ \frac{\partial S}{\partial \bar{\sigma}_0} \end{bmatrix} dj \tag{55}$$

where dj, dl: proportional coefficients

[S1] : stress transformation matrix

Similarly, we can write the differential form of the flow rule No. 3 as

$$\begin{bmatrix} d\bar{\varepsilon}_{0} \\ d\bar{\gamma}_{0} \end{bmatrix} = \begin{bmatrix} M \end{bmatrix} \begin{bmatrix} dk \\ dm \end{bmatrix}, \quad \begin{bmatrix} M \end{bmatrix} = \begin{bmatrix} -\frac{\partial F}{\partial \bar{\gamma}_{0}} & \bar{\varepsilon}_{0} - \alpha \\ \frac{\partial F}{\partial \bar{\varepsilon}_{0}} & \bar{\gamma}_{0} - \beta \end{bmatrix}_{\delta_{ij} = \varepsilon_{eij}}, \qquad \begin{bmatrix} d\bar{\varepsilon}_{on} \\ d\bar{\gamma}_{on} \end{bmatrix} = \begin{bmatrix} -\frac{\partial F}{\partial \bar{\gamma}_{0}} \\ \frac{\partial F}{\partial \bar{\varepsilon}_{0}} \end{bmatrix}_{\delta_{ij} = \varepsilon_{eij}} dk$$
$$\begin{bmatrix} d\bar{\varepsilon}_{0} \\ d\bar{\gamma}_{0} \end{bmatrix} = \begin{bmatrix} D \end{bmatrix} \{ d\varepsilon_{ij} \} \qquad \begin{bmatrix} D \end{bmatrix} = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ \frac{\partial xx - \partial yy}{2\bar{\gamma}_{0}} & \frac{\partial yy - \partial xx}{2\bar{\gamma}_{0}} & \frac{2\partial xy}{\bar{\gamma}_{0}} \end{bmatrix}_{\delta_{ij} = \varepsilon_{eij}}$$
(56)

where, dk, dl: proportional coefficients

[M] : strain transformation matrix

[D] : strain matrix

Incremental stress and strain invariant vectors are linearly transformed into the local coordinate systems which have the origins at $(\bar{\sigma}_0^t, \bar{\tau}_0^t)$ and $(\bar{\varepsilon}_0^t, \bar{\gamma}_0^t)$ on the stress and strain space respectively. (dj, dl) and (dk, dm) represent the local coordinate values and two columns of stress and strain transformation matrices are the base vectors of each coordinate system as shown in Fig. 54.

The flow rule No. 1 at time t + dt is described in the differential form



Fig. 54. Local coordinates and base vectors on the stress and strain spaces.

$$\frac{\bar{\varepsilon}_0 + d\bar{\varepsilon}_{on}}{\bar{\gamma}_0 + d\bar{\gamma}_{on}} \Big|_{\delta_{ij} = \varepsilon_{eij}^t} = \frac{1 - \nu^*}{1 + \nu^*} \frac{\bar{\sigma}_0^t + d\bar{\sigma}_{on}}{\bar{\tau}_0^t + d\bar{\tau}_{on}}$$
(57)

Similarly, the flow rule No. 1 at time t is

$$\frac{\bar{\varepsilon}_0}{\bar{\gamma}_0}\Big|_{\delta_{ij}} = \varepsilon_{eij}^t = \frac{1 - \nu^*}{1 + \nu^*} \frac{\bar{\sigma}_0^t}{\bar{\tau}_0^t}$$
(58)

Therefore, when the neutral loading path is chosen as the differential path, as the general form of flow rule No. 1 in the irreversible process, we have

$$d\left(\frac{\bar{\varepsilon}_{0}}{\bar{\gamma}_{0}}\right) = d\left\{\left(\frac{1-\nu^{*}}{1+\nu^{*}}\right)\frac{\bar{\sigma}_{0}}{\bar{\tau}_{0}}\right\}$$
(59)

Eq.(55), Eq.(56) and Eq.(59) are the generalized flow rule equations. Solving these with the elasto-plastic and fracture constitutive equation simultaneously, we can get the constitutive equation which gives the stress invariant vector in the form

$$\begin{bmatrix} d\bar{\sigma}_{0} \\ d\bar{\tau}_{0} \end{bmatrix} = [[S\ 1][S\ 21][M]^{-1}[D] + [S\ 1][S\ 22][M]^{-1}[D]] \begin{bmatrix} d\epsilon_{xx} \\ d\epsilon_{yy} \\ d\epsilon_{xy} \end{bmatrix}$$
(60)
$$[S\ 21] = \begin{bmatrix} P\ 1 & 0 \\ 0 & P\ 2 \end{bmatrix} \qquad [S\ 22] = \begin{bmatrix} P\ 1 & 0 \\ 0 & P\ 3 \end{bmatrix}$$

where, the process of the mathematical derivation and the functional forms of P1, P2, P3 are given in Appendix II.

4.3 Loading hysteresis including principal axis rotation and flow rule No. 4

The stress invariant vector under an arbitrary strain path can be determined mathematically by Eq.(60), but its applicability has been verified only by the experimental data

in the loading paths under fixed principal stress direction. The application of Eq.(60) in the irreversible process to the more general cases including principal axis rotation requires the following conditions.

(1) The elasto-plastic and fracture constitutive equation is independent of the rotation of the principal stress axis. Similarly, the criterion of the irreversible process is not influenced by the strain paths of axis rotation.

(2) Flow rules No. 1 – No. 3 hold even when the rotation of the principal axis occurs, in other words, flow rule parameters g, h and reversible Poisson's ratio must be independent of the effect of axis rotation for the more general cases.

In the first place, this section discusses the applicability of the elasto-plastic and fracture law and the flow rules No. 1 - No. 3 to the case of the principal axis rotation.

In the second place, this section proposes a flow rule which describes the maximum principal stress direction in the general strain paths. The predicting precision of the principal stress direction has not been verified in the previously reported constitutive models because of lack of experimental data, and because their mathematical forms are in general not suitable to take the deformational characteristics concerning the direction of the principal stress axis into the mathematical description.

4.3.1 Experiments

The loading paths including the rotation of the principal stress axis was introduced by the following method.

(1) Uniaxial compressive stress was monotonically applied to a certain strain level and unloaded completely (the first loading).

(2) A concrete piece was cut off the uniaxially compressed concrete plate in (1) and re-shaped as shown in Fig. 55.



Fig. 55. Principal axis rotation and coordinate system.



Fig. 56. Method of cutting concrete by splitting.

(3) Uniaxial compressive stress was applied to the cut-off concrete specimen (the second loading). In this case, the direction of principal stress was different from that in

the first loading.



Fig. 57. Cut concrete and capped concrete specimens.

Exp. No.	γ (deg.)	Mix. Type*	F _c (Mpa)	\in_{20} (micro)	E _{max} (First)
RT1	15	В	-27.4	-2100	0.46
RT2	25	В	-27.4	-2180	0.41
RT3	45	В	-28.0	-2200	0.46
RT4	65	В	-28.0	-2200	0.46
RT5	15	В	-26.9	-2100	0.58
RT6	25	В	-28.0	-2200	0.56
RT7	45	В	-26.9	-2200	0.66
RT8	65	В	-27.7	-2200	0.55
RT9	21	А	-34.4	-2350	0.95
RT10	28.4	А	-35.1	-2350	0.85
RT11	45	А	-34.0	-2350	0.95

Table 2. Specimens and loading program of principal axis rotation tests.

* See Table 1

The mixture of concrete plates used is shown in Table 1. Four faces of the cut-off concrete plates were recapped by the super high early portland cement mortar whose uniaxial one-day compressive strength was almost equal to the strength of concrete used as shown in Fig. 55. In the process of cutting, the concrete plate was splitted statically with round steel bars as shown in Fig. 56. The diamondcutter was not used because it has the possibility to introduce the extra microcracking by its hard vibrations. The cut concrete plates and recapped one are shown in Fig. 57. The same procedures in chapter 2 were applied for elimination of contact friction and for measurement of stress and strains. In order to check the method of cutting, the uniaxial stress-strain curve was measured using the cut-off concrete plates from a vergin concrete specimen. The stress-strain diagram of cut-off concrete practically coincides with that of the original one. The com-

pressive level at the first loading and rotation angles are summarized in Table 2.

4.3.2 Experimental verifications of elasto-plastic and fracture law and the flow rules

The experimental results of principal axis rotation tests are given as shown in Fig. 58. The coordinate system used is set as shown in Fig. 55. When the compressive stress was reloaded within the maximum experimental stress of the first loading, there exists the practical linear relationship between the increments of stress and strain even in the hysteresis including stress axis rotation. However, when the concrete was compressed to the level of the uniaxial strength, the stress-strain relationship in the reversible process becomes nonlinear and the peak strength at the second loading decreases due to the effect of cyclic loading, regardless of the axis rotation. The effect of cyclic loading to the stressstrain relationships will be discussed in other papers.







Fig. 58. Stress-strain diagrams of rotation tests: (a) RT1, (b) RT2, (c) RT5, (d) RT7 and (e) RT9.

The equivalent stress-strain diagrams of the test series in Table 2 are shown in Fig. 59. Concrete subjected to the loading hysteresis where the maximum equivalent total strain is approximately 0.46 behaves linearly when the equivalent total strain E in the second

loading is smaller than the maximum value of the equivalent total strain E_{\max} , but the nonlinearity in the diagrams in Fig. 59(a) appears when the value of E exceeds E_{\max} in the first loading, where this process satisfies the irreversible condition.



Fig. 59. Equivalent stress-strain relationship of rotation tests: (a) RT1 – RT4, (b) RT5 – RT8, (c) RT9 – RT11.

From the experimental results, the constitutive equation of the elasto-plastic and fracture model in Eq.(35) and irreversible criterion in Eq.(30) is successful to predict these nonlinear behavior of concrete quantitatively. It is observed from these experiments that the equivalent stress-strain relationship is independent of the hysteresis of principal stress rotation.

In case of the loading history where the maximum level of the equivalent total strain is 0.6, the similar facts are observed from the experiments as shown in Fig. 59(b). When the high deformation level was applied to concrete, say $E_{\rm max}=0.9$, the influence of the principal axis rotation on the equivalent stress-strain diagrams is observed in Fig. 59(c).

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However, the difference between the experimental data and the predicted values is not so large to discuss the effect of stress axis rotation, moreover, the experimental data are not sufficient to formulate this small effect of the hysteresis of stress axis rotation. Accordingly, the elasto-plastic and fracture law can be applied to the nonlinear behavior of concrete in the general condition including principal axis rotation.

In the next step, let us discuss the applicability of the flow rule equations which formulate the directional correlation of stress and strain invariant vectors. The relationship between the reversible Poisson's ratio ν^* in the second loading and the rotation angle γ are shown in Fig. 60, where the value of the reversible Poisson's ratio at $\gamma=0$ is the predicted one by Eq.(41).



Fig. 60. Poisson's ratios in the reversible process under the principal axis rotation.

The Poisson's ratio in the reversible process seems to be dependent only on the maximum equivalent total strain E_{max} , not on the degree of the stress axis rotation. Therefore, in case of these experiments, the directional relationship between stress and elastic strain vector also satisfies the flow rule No. 1 with acceptable accuracy.

At any time of loading, the stress condition are under uniaxial stress state, that is, the direction of the stress invariant vector $(\Delta \bar{\sigma}_0, \Delta \bar{\tau}_0)^T$ is constant and equal to the direction of the stress position vector $(\bar{\sigma}_0, \bar{\tau}_0)^T$ (See Section 4.2.2.). As a result, the component vector V_1 always becomes a zero vector, so that, the corresponding incremental strain invariant vector $(\Delta \bar{\varepsilon}_0, \Delta \bar{\gamma}_0)^T$ is indicated only by the component vector X_2 according to the definiton of the flow rule No. 3.



The direction of the vector X_2 in the irreversible process is determined by the flow rule No. 2, or actually by flow rule parameter g. Then, the value of g, when the equivalent total strain coincides with the maximum level of the equivalent strain in the second loading $(E=E_{\max})$, irreversible process), are plotted from experimental data in each test as shown in Fig. 61. The value of g when the rotation angle is zero is the predicted value by Eq.(53) which was derived from the data with the fixed principal stress direction, say $\gamma=0$.

As far as the test results are concerned, the correlation between the rotation angle of the principal stress direction and the flow rule parameter can not be observed. Within the range of experiments in this report, it is reasonable to assume that the flow rules No. 1 - No. 3 can predict the direction of the stress invariant vectors even in case of the stress axis rotation.

4.3.3 Flow rule No. 4 for the direction of the maximum principal stress

The objective of this section is to find the flow rule No. 4 which determines the angle of the maximum principal stress direction under arbitrary strain paths. In the case of the reversible process, the principal stress direction must coincides with that of the elastic strain, because the secant stiffness matrix in the reversible process is isotropic and symmetric. This deformational behavior of concrete is verified by the experimental data (See Section 3.3.3.). The difference between the principal stress direction θ and the principal elastic strain direction θ_e are shown in Fig. 62. Here, strain component $\varepsilon_{ij} - \varepsilon_{pij}^{t_0}$ was used for calculating the angle θ_e with the function T in Eq.(37). $\varepsilon_{pij}^{t_0}$ indicates the plastic strains just before the second loading.



Fig. 62. The difference between the principal stress direction and the principal elastic strain direction.

In the reversible process of the second reloading, the increment of plastic strain is practically equal to zero, so that the plastic strain in the reversible process coincides with the plastic strain at the beginning of the second loading. Therefore, θ_e represents the direction of the principal elastic strain. Actually, the deviation of stress and elastic strain direction is certainly almost equal to zero.

$$\theta - \theta_e = 0$$

 $\theta = \theta_e = T(\delta_{ij} = \epsilon_{eij})$ in reversible process (61)

Differentiating Eq.(61) under the condition where $d\epsilon_{pij} = 0$, we obtain

$$d\theta = \frac{\partial T}{\partial \delta_{ij}} \Big|_{\delta_{ij} = \epsilon_{eij}} \cdot (d\epsilon_{ij} - d\epsilon_{pij}) = \frac{\partial T}{\partial \delta_{ij}} \Big|_{\delta_{ij} = \epsilon_{eij}} \cdot d\epsilon_{ij}$$
(62)

On the other hand, the principal direction of total strain does not coincide with that of the total stress. However, even when the equivalent strain E exceeds E_{max} in the irreversible process, the deviatoric direction of principal stress and principal elastic strain ' $\theta - \theta_e$ ' is almost equal to zero (See Fig. 62.). Therefore, the following flow rule which describes the principal stress direction is derived in the difference forms

$$\theta^{t+\Delta t} = T(\delta_{ij} = \varepsilon_{ij}^{t+\Delta t} - \varepsilon_{pij}^{t})$$

$$\Delta \theta = T(\delta_{ij} = \varepsilon_{ij}^{t} + \Delta \varepsilon_{ij} - \varepsilon_{pij}^{t}) - T(\delta_{ij} = \varepsilon_{ij}^{t} - \varepsilon_{pij}^{t})$$
(63)

Linearizing Eq.(63) into the differential equation, we find

or

$$d\theta = T(\delta_{ij} = \varepsilon_{ij}^{t} - \varepsilon_{pij}^{t}) + \frac{\partial T}{\partial \delta_{ij}} \Big|_{\delta_{ij} = \varepsilon_{eij}} \cdot d\varepsilon_{ij} - T(\delta_{ij} = \varepsilon_{ij}^{t} - \varepsilon_{pij}^{t})$$
$$= \frac{\partial T}{\partial \delta_{ij}} \Big|_{\delta_{ij} = \varepsilon_{eij}} \cdot d\varepsilon_{ij}$$

which has the same mathematical form as Eq.(62). Accordingly, authors assume that the flow rule No. 4 is given by Eq.(62) in all the case of the strain paths. The precision of this flow rule has been verified in the loading paths where strain moves from the reversible to the irreversible cases. However, the applicability of flow rule No. 4 is not completely verified because of the lack of experimental data in the irreversible process where the principal axis rotates^{21), 22)}. This problem should be sloved by an experimental approach. The matrix form of flow rule No.4 is

$$d\theta = [Q] \{ d\varepsilon_{ij} \}$$
$$[Q] = [\sin 2\theta, -\sin 2\theta, -2\cos 2\theta]/A$$
$$A = 2((\varepsilon_{eyy} - \varepsilon_{exx})\cos 2\theta - 2\varepsilon_{exy}\sin 2\theta)$$

(64)

4.4 Concluding remarks

From the experimental approach in Chapter 2, the anisotropic behaviors of concrete were quantitatively made clear in compression-tension stress states. However, previously reported flow rules do not take the investigated anisotropy into account.

In order to formulate the anisotropy of concrete systematically, four flow rule equations concerning the directional correlation between stress and strain vectors were newly derived from the experimental data.

As the reported flow rules are described by 3 or 6 dimensional tensors and constructed in taking the simple mathematical treatment into account, it is difficult to verify their applicability and to include the experimental results into the previously constructed mathematical frames. Then, authors represented the direction of stress vector by the ratio of two stress invariants and the direction of the maximum principal stress.

By adopting this type of formulation, it became easy to use experimental data directly for deriving the mathematical forms as to the stress invariant vectors as follows.

(1) The experimentally investigated isotropic relationship between stress and elastic strain vectors was mathematically expressed by flow rule No. 1. In this formulation, it was made clear that the isotropic (reversible) Poissons's ratio is not constant but changes under high compression-tension stress states, moreover, influenced by the strain paths. By adopting the equivalent total strain as the index of strain hysteresis, the flow rule No. 1 could successfully describe the isotropic relationship in reversible process.

(2) The anisotropic behavior of concrete in the irreversible process was systematically formulated by flow rule No. 2 and No. 3. In these formulations, the anisotropy parameters were newly introduced. In using these parameters, it became possible to express the effect of strain paths on the degree of anisotropy.

(3) Based on the newly developed experimental data on the rotation of principal stress direction, it is made clear that the direction of principal elastic strain coincides with that of the principal stress, but the direction of principal total strain does not coincide with that of principal stress. According to these results, the direction of principal stress was formulated by flow rule No. 4.

5. Linearized Differential Equations under Plane Stress State

Linearized plane stress differential equation can be obtained by solving the constitutive equations derived in Section 4.2 and 4.3 in the matrix forms. In reversible process, the plastic strain is assumed to remain constant and stress-strain relationship is described explicitly in the integrated format. Substituting Eq.(40) and Eq.(61) into Eq.(37), we find

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$$\{\sigma_{ij}\} = [De]\{\varepsilon_{ij} - \varepsilon_{pij}\}$$

$$[De] = \frac{E^*}{1 - \nu^{*2}} \begin{bmatrix} 1 & \nu^* & 0\\ \nu^* & 1 & 0\\ 0 & 0 & 1 - \nu^* \end{bmatrix}$$
(65)

where E^* , ν^* are determined by Eqs.(41),(42).

In the irreversible process, the behavior of concrete is path-dependent, accordingly, the plane stress constitutive equations can be formulated in the differential equations. The effects of strain hysteresis to the stress-strain relationship is introduced mathematically by the integration paths. Substituting Eq.(60) and Eq.(64) into Eq.(37), we obtain the stress increments under arbitrary strain changes, where

$$\{d\sigma_{ij}\} = [Dep]\{d\varepsilon_{ij}\} \\ [Dep] = [Dp] + [Df] + [Dr] \\ [Dp] = [C(\theta)][S 1][S 22][M]^{-1}[D] \\ [Df] = [C(\theta)][S 1][S 21][M]^{-1}[D] \\ [Dr] = \frac{d}{d\theta}[C(\theta)] \begin{bmatrix} \bar{\sigma}_0 \\ \bar{\tau}_0 \end{bmatrix} [Q]$$
(66)

The constructed constitutive law in this paper does not formulate the relations between the plastic strain and total stress, such as the formulation type of the theory of plasticity, but between the total strain and total stress. Accordingly, this constitutive model is classified as a differential total strain theory. In order to proceed the calculation, the plastic strain must be calculated in each integration step for the next step. Integrated plastic strain can be easily calculated by

$$\{\varepsilon_{pij}\} = \{\varepsilon_{ij}\} - [De]^{-1}\{\sigma_{ij}\}$$
(67)

6. Numerical Integration and Experimental Verifications

Generally speaking, the step-by-step integration method of differential equations with certain time intervals accumulates the numerical errors. Especially, if equations to be integrated numerically express the high order nonlinear behaviors, the disregarded differ-

ential terms of higher orders are directly connected with the integration errors, therefore, the integration intervals should be selected to be as small as possible within the acceptable calculation cost.

Fortunately, the constitutive equations in this paper were originally formulated in the nonlinear difference equations, so that, in the nonlinear finite element method, it is reasonable and desirable not to use the linearized differential equations but to adopt the original nonlinear difference equations directly derived from the experimental data for the stress estimation. Moreover, this model is easy to be programmed in the nonlinear iterative routine such as Newton Raphson Method and Modified Newton Method, which require the true stress evaluation corresponding to the assumed finite strain increments in the program. These methods have a merit that the integration error is not accumulated during the load steps when the true stress is given corresponding to the inputed finite strain increments.

The process flow how to calculate the stress at $t+\Delta t$ with the information of the values at time t, where σ_{ij}^t , ε_{pij}^t , E^t and $E_{\max}t^t$, is shown in Appendix III. This type of calculation method in Appendix III was originally given by Yamada¹⁹⁾ as r-minimum method. Authors applied this method to the numerical integration of the derived plane stress constitutive equations.

The material coefficients used in this modelling are compiled as follows.

- (1) a, b : weight constants which represent the influences of mean and deviatoric stresses on the equivalent stress function S.
- (2) c, d : weight constants which represent the influences of mean and deviatoric strains on the strain measure function F.

(3) $K(E_{\text{max}})$: fracture parameter which represents the rate of the fracture.

- (4) E_p : equivalent plastic strain which represents the rate of the plastic deformation.
- (5) ν^* : Poisson's ratio which controls the flow rule in the reversible process.
- (6) (α, β) : coordinate values of the convergence points which controls the flow rule in the irreversible process.

Material parameters (3) and (4) represent the macroscopic behavior of the plasticity and the fracture. (5) and (6) are the main parameters which contol the flow rules. (1) and (2) make it possible to apply the basic concept of the plasticity and the fracture to two dimensional problems. In order to check the constitutive equations, typical examples and analytical predictions by FEM (Appendix III) are given as follows.

Stress-strain diagrams under biaxial compressive loadings²⁾ and analytical results are shown in Fig. 63. The accuracy of the prediction concerning the ultimate values of biaxial stresses and strains is dependent mostly on the precision of the material parameters (1) and (2). The predicted ratio of biaxial strains ϵ_1/ϵ_2 is mainly influenced by the flow rule, that is, the material parameter (6). According to the good fittness between the experimental and analytical results, it is verified that this system of the constitutive equations has the ability to express these behaviors.



Fig. 63. Stress-strain diagrams under biaxial compression.

The strain paths on the biaxial total strain space under the uniaxial cyclic loading are shown in Fig. 64. The dotted lines in this figure represent the strain paths when the compressive stress is completely unloaded. In this analysis, the value of equivalent plastic strain (parameter (4)) and the Poisson's ratio in reversible process (parameter (5)) have much influences on the analytical results. According to Fig. 64, this model is successful to predict the kinematic movement of the biaxial plastic strains. But, the nonlinearity in the reversible process is not included in this modelling. This problem should be studied from now on.



Fig. 65.

Relations between tensile principal stress and incremental biaxial strains of concrete with compression histories.

The incremental stress-strain relationship is shown in Fig. 65 when the tensile principal uniaxial stress is applied to the concrete which has the loading history of compres-

sion in the direction normal to the applied tensile stress. In this case, material parameters (3) and (5) have large influences on the results of the analysis. The stiffness under the tensile stress decreases as the preloaded compressive level becomes higher. This constitutive equations express the decreasing stiffness quantitatively with reasonable accuracy. However, as shown in Fig. 65, this model does not especially take the plasticity and the fracture under the tensile stress into account near the cracking failure, so that, the predicting accuracy near the cracking failure decreases a little. The difference of deformational mechanics under tensile and compressive stresses should be investigated and more experimental data are necessary.

The analytical results of concrete behavior under the stress paths including the principal axis rotation are demonstrated by the dotted lines in Fig. 58(a). There exists a good coincidence between the analytical and experimental results. Accordingly, this fact is one of the verifications of the applicability of the flow rule related to the direction of the principal axis, and the accuracy of material parameters (5) and (6) is confirmed.



Fig. 66. Biaxial strain paths under biaxial compression tension stress states.

The strain paths on the biaxial strain space are shown in Fig. 66 when the uniaxially compressed concrete was loaded by the orthogonal tensile principal stress. The results of both the analytical and experimental data indicate the characteristic behavior of anisotropy under compression-tension stress state. The analytical results of strain paths are much influenced by the system of flow rule in the irreversible process or the precision of material parameter (6). According to this figure, the flow rule equations in this research have acceptable ability to predict the directional correlations between the direction of stress vector and that of strain vector.

7. Conclusion

The biaxial loading tests under various types of stress paths were carried out and corresponding strain paths were precisely measured. Adopted stress paths were summarized as

(1) Monotonic non-proportional and compression-tension loading where the ratio of principal stresses is not constant.

(2) Cyclic non-proportional and compression-tension loading where unloading stress paths were included in the loading programs.

(3) Cyclic uniaxial compression loading where the direction of principal stress rotates.

By chosing three types of loading paths, it became possible to verify the following deformational behaviors of concrete which have been qualitatively predicted but not quantitatively described.

(1) Anisotropy of biaxial stiffnesses.

When the deformational level is low, concrete behaves isotropically. But under high compression-tension stress state, the biaxial stiffnesses do not coincide with each other and the stiffness in the principal tensile direction decreases rapidly.

(2) Isotropic relation between stress and elastic strain.

Biaxial stresses and elastic strains are linked by the isotropic stiffness matrix in the integrated form. Accordingly, the stress-strain relationship in the reversible process (elastic condition) is not influenced by strain paths.

(3) The direction of principal elastic strain and total strains.

The direction of principal elastic strain coincides with the direction of principal stress. However, under high strain level, the direction of principal total strain does not coincide with that of principal stress.

Moreover, these biaxial loading experiments were successful in making clear the following characteristics of concrete newly and quantitatively.

(4) Non-symmetry of biaxial stiffness matrix.

When the deformational level is low, the tangential stiffness matrix is symmetric, but under high compression-tension stress state, the stiffness matrix becomes non-symmetric. (5) Plastic flow rate.

Under high compression-tension stress states, the increment of principal tensile stress accelerates the plastic deformation more effectively than that of principal compressive stress.

(6) Direction of plastic flow.

Under high compression-tension stress states, the plastic strain in the principal tensile stress flows more rapidly than that in the direction normal to the principal tensile stress.

In order to formulate the investigated deformational behaviors above, the equivalent stress and strain (equivalent elastic, plastic and total strains), fracture parameter and anisotropy parameters were introduced under arbitrary strain paths. These invariant

values were successful in indicating the degrees of plasticity, fracture and anisotropy of concrete. Moreover, with these values, the elasto-plastic and fracture constitutive law and new flow rule system could be organized in simplified mathematical forms.

By solving these two types of constitutive laws, plane stress constitutive equations could be derived. Then, the effective numerical integration method of derived equations were given for FEM analysis and analytical results were checked by experimental data. From experimental verifications, it was confirmed that the formulated constitutive laws are able to express the nonlinear deformational behavior of concrete with reasonable accuracy.

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Appendix I Derivation of Equivalent Total Strain in Monotonic and Proportional Biaxial Compressive Stress Paths

- Derivation of Eq.(36) -

Using the strain measure function in Eq.(16) and the definition of equivalent total strain in Eq.(28), we can calculate the increment of the equivalent total strain in the form

$$dE = \frac{C\bar{\varepsilon}_0}{F} \frac{\partial\bar{\varepsilon}_0}{\partial\delta_{ij}} \Big|_{\delta_{ij} = \varepsilon_{eij}} \cdot d\varepsilon_{ij} + \frac{d\bar{\gamma}_0}{F} \frac{\partial\bar{\gamma}_0}{\partial\delta_{ij}} \Big|_{\delta_{ij} = \varepsilon_{eij}} \cdot d\varepsilon_{ij}$$
(A-1-1)

Eq.(A-1-1) holds in any coordinate system. Taking the coordinate axies in the principal stress directions (Principal directions are constant.), we find

$$\frac{\partial \bar{\epsilon}_0}{\partial \delta_{ij}}\Big|_{\delta_{ij} = \epsilon_{eij}} \cdot d\epsilon_{ij} = \frac{1}{\sqrt{2}} d\epsilon_{xx} + \frac{1}{\sqrt{2}} d\epsilon_{yy} = \frac{\partial \bar{\epsilon}_0}{\partial \delta_{ij}}\Big|_{\delta_{ij} = \epsilon_{ij}} \cdot d\epsilon_{ij}$$
(A-1-2)

where, $\epsilon_{xy} = \epsilon_{exy} = 0$

$$\frac{\partial \bar{\gamma}_{0}}{\partial \delta_{ij}}\Big|_{\delta_{ij}=\varepsilon_{eij}} \cdot d\varepsilon_{ij} = \frac{\delta_{xx} - \delta_{yy}}{2\bar{\gamma}_{0}}\Big|_{\delta_{ij}=\varepsilon_{eij}} \cdot (d\varepsilon_{xx} - d\varepsilon_{yy}) + \frac{2\delta_{xy}}{\bar{\gamma}_{0}}\Big|_{\delta_{ij}=\varepsilon_{eij}} \cdot d\varepsilon_{xy}$$
$$= \frac{\delta_{xx} - \delta_{yy}}{\bar{\gamma}_{0}}\Big|_{\delta_{ij}=\varepsilon_{eij}} \cdot \left(\frac{1}{2}d\varepsilon_{xx} - \frac{1}{2}d\varepsilon_{yy}\right) + \frac{2\delta_{xy}}{\bar{\gamma}_{0}}\Big|_{\delta_{ij}=\varepsilon_{ij}} \cdot d\varepsilon_{xy} \quad (A-1-3)$$

Let us now consider the uniaxial stress state, one of the ultimate condition in biaxial compression stress states. When E is less than unity in this condition, the direction of the total strain vector is approximately equal to that of the plastic strain vector as shown in Fig. A1, that is



$$(\varepsilon_{exx}, \varepsilon_{eyy}) \doteq k_1 (\varepsilon_{xx}, \varepsilon_{yy})$$

(A-1-4)

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Otherwise, in the case of the biaxial isotropic compressive stress state, another ultimate condition, where $\varepsilon_{xx} = \varepsilon_{yy}$, $\varepsilon_{exx} = \varepsilon_{eyy}$, the directions of total strain and plastic strain vectors satisfy Eq.(A-1-4) strictly. Therefore, it is reasonable to use Eq.(A-1-4) in the biaxial compressive stress state.

Substituting Eq.(A-1-4) into Eq.(A-1-2), we have

$$\frac{\partial \bar{\gamma}_{0}}{\partial \delta_{ij}}\Big|_{\delta_{ij}=\varepsilon_{eij}} \cdot d\varepsilon_{ij} = \frac{\delta_{xx} - \delta_{yy}}{\bar{\gamma}_{0}}\Big|_{\delta_{ij}=\varepsilon_{ij}} \cdot \left(\frac{1}{2}d\varepsilon_{xx} - \frac{1}{2}d\varepsilon_{yy}\right) + \frac{2\delta_{xy}}{\bar{\gamma}_{0}}\Big|_{\delta_{ij}=\varepsilon_{ij}} \cdot d\varepsilon_{xy}$$
$$= \frac{\partial \bar{\gamma}_{0}}{\partial \delta_{ij}}\Big|_{\delta_{ij}=\varepsilon_{ij}} \cdot d\varepsilon_{ij}$$
(A-1-5)

Similarly, Eq.(A-1-6) and Eq.(A-1-7) are derived as follows.

$$\frac{\bar{\varepsilon}_{0}}{\bar{F}}\Big|_{\delta_{ij}=\varepsilon_{eij}} = \frac{\bar{\varepsilon}_{0}}{\sqrt{(c\bar{\varepsilon}_{0})^{2} + (d\bar{\gamma}_{0})^{2}}}\Big|_{\delta_{ij}=\varepsilon_{eij}} = \frac{\bar{\varepsilon}_{0}}{\sqrt{(c\bar{\varepsilon}_{0})^{2} + (d\bar{\gamma}_{0})^{2}}}\Big|_{\delta_{ij}=k_{1}\cdot\varepsilon_{ij}}$$

$$= \frac{k_{1}\bar{\varepsilon}_{0}}{k_{1}\sqrt{(c\bar{\varepsilon}_{0})^{2} + (d\bar{\gamma}_{0})^{2}}}\Big|_{\delta_{ij}=\varepsilon_{ij}} = \frac{\bar{\varepsilon}_{0}}{\bar{F}}\Big|_{\delta_{ij}=\varepsilon_{ij}}$$
(A-1-6)

$$\frac{\gamma_0}{F}\Big|_{\delta_{ij}=\varepsilon_{eij}} = \frac{\gamma_0}{F}\Big|_{\delta_{ij}=\varepsilon_{ij}}$$
(A-1-7)

Substituting Eq.(A-1-2), Eq.(A-1-5), Eq.(A-1-6) and Eq.(A-1-7) into Eq.(A-1-1), we obtain

$$dE = \frac{c\bar{\epsilon}_{0}}{F} \frac{\partial\bar{\epsilon}_{0}}{\partial\delta_{ij}} \Big|_{\delta_{ij} = \epsilon_{ij}} \cdot d\epsilon_{ij} + \frac{d\bar{\gamma}_{0}}{F} \frac{\partial\bar{\gamma}_{0}}{\partial\delta_{ij}} \Big|_{\delta_{ij} = \epsilon_{ij}} \cdot d\epsilon_{ij} = \frac{\partial F}{\partial\delta_{ij}} \Big|_{\delta_{ij} = \epsilon_{ij}} \cdot d\epsilon_{ij}$$

$$E = \int \frac{\partial F}{\partial\delta_{ij}} \Big|_{\delta_{ij} = \epsilon_{ij}} \cdot d\epsilon_{ij} = \int dF = F(\epsilon_{ij})$$
(36)

which is the strict solution in the isotropic biaxial compressive stress state.

Appendix II Derivation of Constitutive Equations to Predict the Stress Invariant Vector in the Irreversible Process

- Derivation of Eq.(60) -

In differentiating Eq.(59), the neutral loading path (dE=dEmax=0) is chosen for integration path. As the reversible Poisson's ratio remains constant in this integration path, we have

$$d\left(\frac{1-\nu^{*}}{1+\nu^{*}}\right) = 0 \tag{A-2-1}$$

Therefore, Eq.(59) is

$$\bar{\gamma}_0 d\bar{\varepsilon}_{on} - \bar{\varepsilon}_0 d\bar{\gamma}_{on} = \frac{1 - \nu^*}{1 + \nu^*} \left(\frac{\bar{\gamma}_0}{\bar{\tau}_0}\right)^2 (\bar{\tau}_0 d\bar{\sigma}_{on} - \bar{\sigma}_0 d\bar{\tau}_{on}) \tag{A-2-2}$$

Substituting Eqs.(55) and (56) into Eq.(A-2-2), we find the unique relationship between j and k as

$$dj = P \ 1 \ dk$$

$$P \ 1 = \frac{1 + \nu^*}{1 - \nu^*} \left(\frac{\bar{\tau}_0}{\bar{\gamma}_0}\right)^2 \frac{\bar{\epsilon}_0 \frac{\partial F}{\partial \bar{\epsilon}_0} + \bar{\gamma}_0 \frac{\partial F}{\partial \bar{\gamma}_0}}{\bar{\tau}_0 \frac{\partial S}{\partial \bar{\tau}_0} + \bar{\sigma}_0 \frac{\partial S}{\partial \bar{\sigma}_0}} \bigg|_{\delta_{ij} = \epsilon_{eij}}$$
(A-2-3)

Differentiating the elasto-plastic and fracture equation (35) with the irreversible criterion in Eq.(30), we have

$$dS = E_0 \left\{ K(E) \left(1 - \frac{dE_p}{dE} \right) + \frac{dK}{dE} (E - E_p) \right\} dE$$
(A-2-4)

According to the definitions of the equivalent stress and the equivalent strain in Eq.(13) and Eq.(28) with definition in Eq.(45), the differentiations of these values are

$$dS = \frac{\partial S}{\partial \bar{\sigma}_0} d\bar{\sigma}_0 + \frac{\partial S}{\partial \bar{\tau}_0} d\bar{\tau}_0 \tag{A-2-5}$$

$$dE = \frac{\partial F}{\partial \delta_{ij}} \Big|_{\delta_{ij} = \epsilon_{eij}} d\epsilon_{ij} = \left(\frac{\partial F}{\partial \bar{\epsilon}_0} d\bar{\epsilon}_0 + \frac{\partial F}{\partial \bar{\gamma}_0} d\bar{\gamma}_0 \right) \Big|_{\delta_{ij} = \epsilon_{eij}}$$
(A-2-6)

Substituting Eqs.(A-2-5) and (A-2-6) into Eq.(A-2-4) with the flow rule No. 2 and No. 3 in Eqs.(55) and (56), we obtain
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$$dl = (P2 + P3) dm$$

$$P 2 = E_0 (E - E_p) \frac{dK}{dE_{\max}} \cdot \frac{(\bar{\varepsilon}_0 - \alpha) \frac{\partial \bar{F}}{\partial \bar{\varepsilon}_0} + (\bar{\gamma}_0 - \beta) \frac{\partial \bar{F}}{\partial \bar{\gamma}_0}}{\bar{\tau}_0 \frac{\partial S}{\partial \bar{\tau}_0} + \bar{\tau}_0 \frac{\partial S}{\partial \bar{\gamma}_0}} \bigg|_{\delta_{ij} = \varepsilon_{eij}}$$

$$P 3 = E_0 K \left(1 - \frac{dE_p}{dE_{\max}} \right) \cdot \frac{(\bar{\varepsilon}_0 - \alpha) \frac{\partial F}{\partial \bar{\varepsilon}_0} + (\bar{\gamma}_0 - \beta) \frac{\partial F}{\partial \bar{\gamma}_0}}{\bar{\tau}_0 \frac{\partial S}{\partial \bar{\tau}_0} + \bar{\sigma}_0 \frac{\partial S}{\partial \bar{\gamma}_0}} \bigg|_{\delta_{ij} = \varepsilon_{eij}}$$
(A-2-7)

Local coordinate values (d ℓ , dm) can be determined by solving Eq.(56) under the arbitrary strain paths as

$$\begin{bmatrix} dl \\ dm \end{bmatrix} = [M]^{-1} \begin{bmatrix} d\bar{\epsilon}_0 \\ d\bar{\gamma}_0 \end{bmatrix}, \quad [M] = \begin{bmatrix} -\frac{\partial F}{\partial \bar{\gamma}_0} & \bar{\epsilon}_0 - \alpha \\ \frac{\partial F}{\partial \bar{\epsilon}_0} & \bar{\gamma}_0 - \beta \end{bmatrix}_{\delta_{ij} = \epsilon_{eij}}$$
(A-2-8)

As the envelope where equivalent total strain is constant or in other word, $E_e = \text{const.}$ is convex in the strain space (See Fig. 50), two base vectors X_1 and X_2 are independent of each other when the convergence points Z_c and Z_t exist within the envelope (See Fig. 54). The formulation of points Z_c and Z_t satisfies this condition. Accordingly, strain transformation matrix [M] whose column vectors are X_1 and X_2 become regular and the inverse of strain transformation matrix exists.

Parameter j and ℓ are given by

$$\begin{bmatrix} dj \\ dl \end{bmatrix} = \begin{bmatrix} P \ 1 & 0 \\ 0 & P \ 2 + P \ 3 \end{bmatrix} \begin{bmatrix} dk \\ dm \end{bmatrix}$$
(A-2-9)

The strain invariant vector is calculated by Eq.(56) under an arbitrary strain path and parameters k and m are obtained by Eq.(A-2-8). Parameters j and ℓ are determined by Eq.(A-2-9) and stress invariant vector is uniquely determined by Eq.(44).

These process is summarized in Eq.(60).

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Appendix III Numerical Integration Method of Derived Plane Stress Constitutive Equations (r-minimum method)

Mathematical forms of constitutive equations in the reversible process is different from those in the irreversible process. Therefore, we must determine the integration ranges where reversible and irreversible constitutive equations can be applied in an integration step in the case where concrete is deformed from the reversible (elastic) to the irreversible process. The similar problems exist in the integration of the constitutive equations in the theory of plasticity.

This paper adopts the r-minimum method by Yamada¹⁹⁾ which was formulated in the stress space to the numerical analysis in the strain space. Let us consider the case where a strain point at time t (point A in Fig. A2) in the reversible area shifts to a new strain point at time $t+\Delta t$ (point B in Fig. A2) in the irreversible area due to the strain increment $\Delta \epsilon_{ij}$. It is assumed that the strain state changes straightly from points A to B in the strain space as shown in Fig. A2. Point D is defined as an intersecting strain point between the reversible boundary (elasticity boundary) and the strain path from A to B, therefore, the strain state at D is expressed as ϵ_{ij}^{t+rdt} with the strain at A ϵ_{ij}^{t} and strain increment $\Delta \epsilon_{ij}$ in the form

$$\varepsilon_{ii}^{t+r\Delta t} = \varepsilon_{ij}^{t} + r\Delta\varepsilon_{ij} \tag{A-3-1}$$

The strain at B is

$$\varepsilon_{ij}^{t} = \varepsilon_{ij}^{t} + \Delta \varepsilon_{ij} = \varepsilon_{ij}^{t+r\Delta t} + (1-r)\Delta \varepsilon_{ij}$$
(A-3-2)

where $0 \leq r \leq 1$.

Parameter r controls the integration interval. At the first stage, the stress σ_{ij}^{t+rdt} which corresponds to the strain at D can be calculated using the reversible constitutive equations with the strain increment $r \varDelta \varepsilon_{ij}$. At the second stage, the stress at time t+ Δt can be calculated by the irreversible constitutive equations with the strain increment $(1-r)\varDelta \varepsilon_{ij}$. We have

$$r = \frac{\frac{\partial F}{\partial \delta_{ij}}}{\frac{\partial F}{\partial \delta_{ij}}} \frac{rd\varepsilon_{ij}}{\varepsilon_{ij}} = \frac{F^{t+r\Delta t} - E^{t}}{\Delta E}$$
(A-3-3)

From the definition of r as shown in Fig. A2, the integration parameter is

$$E^{t+r\Delta t} = E^t_{\max}$$

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The calculation flow of integrating the plane stress constitutive equations for FEM analysis is shown in Fig. A3 and Fig. A4. When the strain increment $\Delta \epsilon_{ij}$ is given as input,





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Fig. A4. Calculation method of evaluating stress invariant vector in the irreversible process.

 σ_{ij}^{t+4t} can be calculated as output using σ_{ij}^{t} , ε_{ij}^{t} , ε_{pij}^{t} , E^{t} and E_{max}^{t} . The general process in Fig. A3 and Fig. A4 is equivalent to the simultaneous solution of the elastoplastic and fracture constitutive equation (35) and flow rules No. 1 – No. 4 in the difference forms of Eq.(40), Eq.(44), Eq.(49) and Eq.(63).

When the strain increment $\Delta \varepsilon_{ij}$ is inputed, the equivalent total strain at time $t + \Delta t$ is calculated by Eq.(31). If $E^{t+}\Delta t$ is smaller than $E_{\max}t$, this deformational process is the reversible one, therefore, the plastic strain at time $t + \Delta t$ is equal to the plastic strain at time t, and the stress at time $t + \Delta t$ is determined by Eq.(65).

If $E^{t+\Delta t}$ is larger than $E_{\max}t$, integration parameter r is calculated by Eq.(A-3-4). The stress point D at time $t+r\Delta t$ which corresponds to the strain increment $r\Delta \varepsilon_{ij}$ is calculated with the same flow as that in the reversible process. By resetting the strain increment $(1-r)\Delta\varepsilon_{ij}$ as the strain increment in the irreversible process, the stress invariant vector at time $t+\Delta t$ can be determined according to the calculation flow in Fig. A4. Then, the direction θ is calculated by Eq.(63). With the stress invariant vector and the direction of the maximum principal stress at time $t+\Delta t$, the total stress at time $t+\Delta t$ is calculated by Eq.(37).

The method to calculate the stress invariant vector in the irreversible process is explained in Fig. A4. According to Eq.(49), $(\Delta \bar{\epsilon}_{on}, \Delta \bar{\gamma}_{on})$ is calculated in arbitrary strain paths. Solving Eq.(44) and Eq.(47) simultaneously, the direction of the stress invariant vector is

$$\frac{\bar{\sigma}_{0}^{t+\Delta t}}{\bar{\tau}_{0}^{t+\Delta t}} = \frac{1+\nu^{*}}{1-\nu^{*}} \frac{\bar{\varepsilon}_{0} + \Delta \bar{\varepsilon}_{on}}{\bar{\gamma}_{0} + \Delta \bar{\gamma}_{on}}$$
(A-3-5)

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From the elasto-plastic and fracture equation (35), the equivalent stress at time $t + \Delta t$ is determined as the length of the stress invariant vector. Using the calculation processes above, we can get the direction and the degree of the stress invariant vector. Then, using the definition of equivalent stress, we can determine the stress invariant vector uniquely as Fig. A4.